



Air Resources Board



Matthew Rodriguez
Secretary for
Environmental Protection

Mary D. Nichols, Chair
9480 Telstar Avenue, Suite 4
El Monte, California 91731 • www.arb.ca.gov

Edmund G. Brown Jr.
Governor

March 10, 2016

Mail-Out #ECARS 16-03

TO: Manufacturers of Diesel Emission Control Strategies

SUBJECT: ANNUAL WARRANTY REPORT FORMAT FOR MANUFACTURERS OF
DIESEL EMISSION CONTROL STRATEGIES

The *Verification Procedure, Warranty, and In-Use Compliance Requirements for In-Use Strategies to Control Emissions from Diesel Engines, Title 13, California Code of Regulations*, Sections 2700 through 2711 (Verification Procedure) require manufacturers of diesel emission control strategies (DECS) to submit an annual warranty report to the Air Resources Board (ARB). The manufacturer warranty reports for the previous calendar year are due by April 1st of each calendar year or whenever the valid warranty claims rate exceeds four percent, whichever occurs first in the reporting year. These reports must contain information described in Section 2707(c) of the Verification Procedure.

The Verification Procedure requires that the manufacturer warranty report is submitted in a format specified by the Executive Officer. In order to improve data integrity and accuracy, ARB has updated the Microsoft Excel (Excel) spreadsheet template provided in Mail-Out #ECARS 15-03 in 2015. The updates include additions of new DECS products, family names, and Executive Order numbers in drop-down menus, data input constraint changes, and data field alignments. Please ensure that you follow the instructions in Attachment 1 for specific warranty report submittal requirements.

Attachment 2 contains an overview of the updated data file structure required for electronic submittal and provides descriptions of the data fields for the manufacturer's warranty report. Attachment 3 contains the updated manufacturer's warranty report template in Excel format. There are no data field changes from the previous year's template.

The warranty reports (Attachment 3), with the exception of warranty reports for fuel additives or alternative diesel fuels, locomotive applications, stationary applications, transport refrigeration units (TRUs), rubber-tired gantry cranes (RTGs), and marine applications, must be submitted to:

The energy challenge facing California is real. Every Californian needs to take immediate action to reduce energy consumption. For a list of simple ways you can reduce demand and cut your energy costs, see our website: <http://www.arb.ca.gov>.

California Environmental Protection Agency

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CHIEF, IN-USE PROGRAMS BRANCH
AIR RESOURCES BOARD
9480 TELSTAR AVENUE, SUITE 4
EL MONTE, CALIFORNIA 91731

For manufacturer warranty report, send to mfr.warranty.rpt@arb.ca.gov

All warranty reports for strategies utilizing any form of fuel additive or alternative diesel fuel, including any fuel additive or alternative diesel fuel intended for locomotives, must be submitted to:

CHIEF, OIL & GAS AND GHG MITIGATION BRANCH
AIR RESOURCES BOARD
1001 I STREET
SACRAMENTO, CALIFORNIA 95814

For manufacturer warranty report, send to mfr.warranty.rpt@arb.ca.gov

All warranty reports for systems intended for use with locomotive (except those utilizing any form of fuel additive or alternative diesel fuel), stationary, TRUs, RTGs, or marine applications must be submitted to:

CHIEF, EMISSIONS ASSESSMENT BRANCH
AIR RESOURCES BOARD
1001 I STREET
SACRAMENTO, CALIFORNIA 95814

Attn. Mr. John Lee (for stationary, marine, and RTGs) at jlee@arb.ca.gov

Attn. Mr. Rod Hill (for TRUs) at rhill@arb.ca.gov

Attn. Mr. Michael Jaczola (for locomotive) at mjaczola@arb.ca.gov

The warranty report files are available for download on the ARB website at <http://www.arb.ca.gov/diesel/verdev/vr/warranty.htm>. If you have questions regarding the warranty reports, please contact Mr. Keith A. Macias, Manager, at (626) 575-6600 or by email at kmacias@arb.ca.gov or Mr. Doh-Won Lee, Air Resources Engineer, at (626) 350-6543 or by email at doh-won.lee@arb.ca.gov.

Sincerely,



Annette Hebert, Chief
Emissions Compliance, Automotive Regulations and Science Division

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Attachments (3):

- 1) [Manufacturer Warranty Report Submittal Instructions](#)
- 2) [Manufacturer Warranty Report Field Definitions](#)
- 3) [Manufacturer Warranty Report Data Template](#)

cc: Mr. Keith A. Macias, Manager
In-Use Compliance and Evaluation Section
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