

State of California
AIR RESOURCES BOARD

Notice of Public Availability of Modified Text

AMENDMENTS TO REGULATIONS REGARDING ON-BOARD DIAGNOSTIC SYSTEM
REQUIREMENTS FOR 1994 AND LATER PASSENGER CARS, LIGHT-DUTY TRUCKS,
AND MEDIUM-DUTY VEHICLES AND ENGINES (OBD II)

Public Hearing Date: December 8, 1994

Public Availability Date: January 19, 1995

Deadline for Public Comment: February 3, 1995

At a public hearing held on December 8, 1994, the Air Resources Board (the "Board") considered amendments to California's On-Board Diagnostic II (OBD II) regulation (Section 1968.1, Title 13, California Code of Regulations ("CCR")). The proposed amendments would improve the overall effectiveness of the OBD II requirements while addressing implementation concerns expressed by manufacturers.

The Board adopted Resolution 94-67 approving the proposed amendments with modifications. The modifications made by the Board prior to adopting the Resolution are summarized below:

Section (b)(1.2.2) was modified by the Board to reduce the 1998 and 1999 model year phase-in percentages for the low emission vehicle catalyst monitoring requirements. With the modification, manufacturers must certify 30 percent of its low emission vehicle applications to the 1.5 times the standard emission threshold for the 1998 model year, and 60 percent for the 1999 model year. The percentages proposed by the staff were 40 and 70 percent, respectively.

The Board modified the implementation dates for the phase-in of evaporative system leak detection strategies capable of detecting leaks as small as the equivalent of a 0.020 inch diameter hole. Section (b)(4.2.2) has been modified to delay initial introduction of such systems from the 1998 model year to the 2000 model year. The projected sales volume phase-in percentages would be 50 percent with the 2000 model year, 75 percent for 2001, and 100 percent compliance for the 2002 model year. Small volume manufacturers would not be required to meet the 2000 and 2001 model year phase-in percentages.

The Board directed the staff to modify the requirements for comprehensive component monitoring (section (b)(10) of the regulation) to require illumination of the Malfunction

Indicator Light (MIL) only for affected components and systems that can cause emissions to increase by more than 15 percent of the FTP standard. Section (b)(10.4) has been modified to state that manufacturers shall be required only to store a fault code, and not to illuminate the MIL, for affected components and systems that could not cause such an emission increase. However, if an electronic component or system is used as part of the diagnostic strategy to satisfy another monitoring requirement, MIL illumination would continue to be required for those components and systems in order to ensure that the vehicle operator is alerted to malfunctions that impair the function of the OBD II system.

In addition to these modifications, the staff has proposed other minor modifications to the OBD II regulation to further address manufacturers concerns and for clarity:

Section (a)(2.2) has been modified with respect to the requirements for clearing the OBD II readiness code when disabling monitoring systems in response to the activation of a Power Take-Off (PTO) unit. The section would require that the readiness code be cleared (i.e., all bits set to "test not complete") while the PTO unit is active. Once the PTO unit is de-activated, the readiness code can be restored to the state that existed prior to activation.

Section (b)(1.1.2) has been modified to allow manufacturers of spark-ignited lean-burn engines to request Executive Officer approval for exemption from the OBD II catalyst monitoring requirements if the manufacturer can demonstrate that technology is not available that would allow for reliable monitoring of the catalyst system.

A footnote has been added to section (b)(1.2.1) to clarify that in instances where the malfunction criteria is to be based on the applicable emission standards, the certification reactivity adjustment factor shall be applied with respect to hydrocarbon emissions on low emission vehicle applications.

Section (b)(3.2)(B) has been modified to remove an unnecessary restriction on the establishment of the malfunction criteria for misfire monitoring. With the modification, manufacturers would be permitted, but not required, to use the data from a single durability demonstration vehicle for all engines that have the same number of cylinders as the demonstration vehicle.

The title for section (g), and section (g)(1.0) itself have been modified to further make clear that the demonstration requirements do not apply solely to engine families for which a Durability Demonstration Vehicle (DDV) is available. Similarly, in section (g)(4.5) the term "DDV" has been replaced by "vehicle."

Section (g)(2.6.1) has been modified to clarify the catalyst monitoring demonstration requirements for non-low emission vehicles. Consistent with the monitoring requirements for

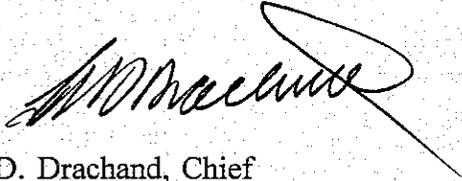
such vehicles, the modification makes clear that the baseline emission test is to be conducted with a representative 4000 mile catalyst system.

Section (g)(3.0) has been modified to further clarify that for successful completion of OBD II demonstrations, manufacturers shall not require the demonstration vehicle to be cold soaked prior to running preconditioning cycles.

Section (m)(5.1) has been modified to clarify that the implementation requirements specified in the section apply to alternate fuel engines as well as vehicles.

Resolution 94-67 and the text of Section 1968.1, Title 13, as modified, are attached. Comments regarding the modifications to Section 1968.1 must be submitted to the Board Secretary, Air Resources Board, P.O. Box 2815, Sacramento, California 95812, no later than February 3, 1995, for consideration by the Executive Officer. Questions regarding this mail-out may be directed to Allen Lyons, Air Resources Engineer Associate, at (818) 575-6833.

Sincerely,



K. D. Drachand, Chief

Attachments



State of California
AIR RESOURCES BOARD

Resolution 94-67

December 8, 1994

Agenda Item No.: 94-12-2

WHEREAS, sections 39002 and 39003 of the Health and Safety Code charge the Board with the responsibility for systematically attacking the serious air pollution problem caused by motor vehicles;

WHEREAS, sections 39600 and 39601 of the Health and Safety code authorize the Board to adopt standards, rules and regulations and to do such acts as may be necessary for the proper execution of the powers and duties granted to and imposed upon the Board by law;

WHEREAS, in section 43000 of the Health and Safety Code, the Legislature has declared that the emission of air pollutants from motor vehicles is the primary cause of air pollution in many parts of the state;

WHEREAS, in section 43000.5 of the Health and Safety Code, the Legislature found and declared that, despite significant reductions in vehicle emissions in recent years, continued growth in population and vehicle miles traveled throughout California have the potential not only to prevent attainment of the state standards, but in some cases, to result in worsening of air quality;

WHEREAS, section 43004 provides that unless expressly exempted, the exhaust emissions for gasoline-powered motor vehicles shall apply to motor vehicles which have been modified or altered to use a fuel other than gasoline or diesel;

WHEREAS, section 43006 provides that the ARB may certify the fuel system of any motor vehicle powered by a fuel other than gasoline or diesel which meets the standards specified by section 43004 and adopt test procedures for such certification;

WHEREAS, section 43013 of the Health and Safety Code authorizes the Board to adopt motor vehicle emission standards and in-use performance standards which it finds to be necessary, cost-effective, and technologically feasible;

WHEREAS, section 43018 of the Health and Safety Code directs the Board to achieve the maximum degree of emissions reductions possible from vehicular and other mobile sources in order to accomplish the attainment of state standards at the earliest possible date;

WHEREAS, sections 39515 and 39516 of the Health and Safety Code provide that the Board may delegate any duty to the Executive Officer which the Board deems appropriate and that any power, duty, purpose, function, or

jurisdiction which the Board may lawfully delegate shall be conclusively presumed to have been delegated to the Executive Officer unless the Board has expressly reserved such authority onto itself;

WHEREAS, in July 1990, the Board adopted and the Office of Administrative Law subsequently approved regulations regarding "Malfunction and Diagnostic System Requirements--1994 and Subsequent Model-Year Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles and Engines," (OBD II), which are codified at Title 13, CCR, section 1968.1, and which set forth requirements for monitoring catalyst efficiency, engine misfire, evaporative system integrity, secondary air injection, and chlorofluorocarbon (CFC) containment; for improving current monitoring of the fuel system, oxygen sensor, EGR system, and other emission-related components of the on-board diagnostic system; and for standardizing fault codes, diagnostic repair equipment, the vehicle connector used for attaching the repair equipment to the vehicle, and the protocol for downloading repair information in order to improve the effectiveness of emission control system repairs;

Whereas, the Board adopted amendments to the OBD II regulations in 1991 and 1993, and the amendments were approved by the Office of Administrative Law;

WHEREAS, the staff has now proposed adoption of additional amendments to Title 13, CCR, section 1968.1, that, among other things, would provide manufacturers with additional guidance and/or flexibility in implementing and complying with the malfunction and diagnostic requirements of the regulations, including catalyst monitoring, misfire detection, tamper resistance, and applicability of the regulations to vehicles and engines that use diesel and alternate fuels, and would require manufacturers to develop monitoring strategies capable of detecting evaporative system leaks as small as the equivalent of a 0.020 inch diameter orifice.

WHEREAS, the staff has further proposed that Title 13, CCR, section 1968.1 be amended to provide the Executive Officer with authority to certify 1996-2000 model year vehicles required to comply with the malfunction and diagnostic requirements of the section, but do not fully meet the minimum requirements in one or more areas, provided that the manufacturers of such vehicles in some cases pay a fine for such nonconformance pursuant to section 43016 of the California Health and Safety Code;

WHEREAS, the staff has further proposed amendments to Title 13, CCR, sections 2030 and 2031 and the incorporated "California Certification and Installation Procedures for Alternative Fuel Retrofit systems for Motor Vehicles Certified for 1994 and Subsequent Model Years" to allow alternative fuel retrofit system manufacturers to disable specific on-board diagnostic monitoring strategies through the 1998 model year;

WHEREAS, the California Environmental Quality Act and Board regulations require that no project which may have significant adverse environmental impacts may be adopted as originally proposed if feasible alternatives or mitigation measures are available to reduce or eliminate such impacts;

WHEREAS, pursuant to section 43013(e) of the Health and Safety Code, the Board has considered the effects of the proposed amendments to the regulations on the economy of the state;

WHEREAS, a public hearing and other administrative proceedings have been held in accordance with the provisions of Chapter 3.5 (commencing with Section 11340), Part 1, Division 3, Title 2 of the Government Code;

WHEREAS, the Board finds that:

To date, more than 35 engine families have been certified as meeting the OBD II requirements for the applicable model years;

The proposed amendments to Title 13, CCR, section 1968.1 should help manufacturers continue to be able to certify systems in future model years by clarifying requirements and by making minor adjustments to the regulation based on technical and practical experience gained to date, which should ease the burdens manufacturers face in developing OBD II systems that comply with these regulations;

Significant numbers of vehicles continue to operate with deteriorated catalytic converters and that catalyst performance is crucial to maintaining vehicle emissions in compliance with the applicable standards; accordingly, it continues to be necessary to monitor catalyst performance; however, such monitoring can be done by evaluating malfunction criteria based on tailpipe emission levels;

In-use surveillance programs indicate that evaporative system leaks as small as 0.020 inches in diameter occur on a significant number of in-use vehicles causing excess evaporative emissions, and, therefore, evaporative monitoring systems that can detect leaks of this size, as well as other malfunctions, should significantly reduce in-use evaporative emissions from vehicles;

The monitoring of misfire over the full engine operating range is necessary because misfire contributes substantially to excess emissions and can cause catalyst overheating and failure;

On diesel fueled vehicles, the loss of compression or other malfunctions that prevent combustion in one or more cylinders and fuel system malfunctions cause excess emissions; monitoring systems that detect such malfunctions should significantly reduce such emissions from diesel fueled vehicles;

Manufacturers of alternative fuel vehicles and alternative fuel retrofit systems require additional leadtime to investigate the effects of alternate fuels on component performance and durability before full implementation of reliable OBD II systems on these vehicles can take place;

The proposed amendments should maximize the long-term effectiveness of the OBD II regulations by restructuring and enhancing current monitoring requirements based on the latest improvements in monitoring technologies;

The proposed amendments would enable manufacturers that have been unable to develop a fully complying OBD II system, despite good faith efforts, to certify such systems conditionally, through the 2000 model year, upon payment of a penalty in some cases;

The proposed amendments to Title 13, CCR, section 1968.1 are necessary, cost-effective, and technologically feasible to carry out the purposes of the California Clean Air Act; and

The proposed amendments to Title 13, CCR, section 1968.1, do not affect the Board's earlier findings that the full implementation of the regulation will result in emission reductions that will help attain and maintain national and air quality standards for ozone, carbon monoxide and nitrogen dioxide;

WHEREAS, the Board has determined, in accordance with the California Environmental Quality Act and Board regulations, that the proposed amendments to Title 13, CCR, section 1968.1, that provide that manufacturers may elect to phase-in the monitoring of misfire detection over the full range of operating conditions over a four year period and that manufacturers may be permitted to certify non-fully compliant OBD II systems, may have some short-term adverse environmental impacts in relation to the regulations presently in effect; however, overriding considerations exist for adoption of the proposed amendments:

Title 13, CCR, section 1968.1(b)(3.3) presently provides that for 1997 and later model year vehicles, misfire shall be monitored continuously and under all positive torque engine speeds and conditions; however, staff has determined, and the Board finds, that some engine and driveline characteristics, especially as they apply to engines with 10 or 12 cylinders, still preclude reliable misfire monitoring over the full range of operating conditions;

No equally effective alternative methods for misfire monitoring have been identified that would be cost-effective and technologically feasible for implementation by the 1997 model year.

Strict enforcement of the existing regulations could result in several engines not being able to be certified and available for sale in California; accordingly, amendments have been proposed to permit manufacturers to

phase-in the full-range misfire requirement over four years, beginning with the 1997 model year;

The proposed amendments to Title 13, CCR, section 1968.1(m) would enable manufacturers that have been unable to develop fully complying OBD II systems, despite good faith efforts, to certify such systems conditionally, through the 2000 model year;

Theoretically, allowing vehicles to be certified during the phase-in period even though they cannot be monitored over the full range of operating conditions could prevent the proper and immediate repair of emission control systems of such vehicles and could result in a possible increase in emissions;

However, such vehicles, even with the potential monitoring system deficiencies that have been identified, will be significantly more effective in reducing in-use vehicle emissions than malfunction and diagnostic systems that comply with pre-OBD II system requirements;

The certification of such vehicles would minimize economic hardship for vehicle manufacturers, distributors of such vehicles within California, and would minimize any resulting impact on vehicle availability and costs for consumers;

WHEREAS, the Board has determined, in accordance with the California Environmental Quality Act and Board regulations, that the proposed amendments to Title 13, CCR, sections 2230-2231 will not have significant adverse environmental impacts;

NOW, THEREFORE, BE IT RESOLVED that the Board hereby approves for adoption, with modification, the amendments to sections 1968.1 and 2230-2231, Title 13, California Code of Regulations and the documents incorporated therein, which were made available for public comment in Mail-Out 94-38 and are set forth in Attachment A. The modifications to the language of Attachment A are set forth in Attachment B.

BE IT FURTHER RESOLVED that the Board directs the Executive Officer to adopt Section 1968.1, Title 13, California Code of Regulations, after making the modified regulatory language and additional supporting documents and information available for public comment for a period of 15 days, provided that the Executive Officer shall consider such written comments regarding the modification and additional supporting documents and information as may be submitted during this period, shall make modifications as may be appropriate in light of the comments received, and shall present the

regulations to the Board for further consideration if he determines that this is warranted;

BE IT FURTHER RESOLVED that the Board hereby determines that pursuant to section 209(b) of the Clean Air Act the regulations adopted herein will not cause California motor vehicle emission standards, in the aggregate, to be less protective of public health and welfare than applicable federal standards, and will not cause the California requirements to be inconsistent with section 202(a) of the Clean Air Act;

BE IT FURTHER RESOLVED that the Executive Officer shall, upon adoption, forward the amended subsections to Title 13, CCR, section 1968.1, to the Administrator of the Environmental Protection Agency with a request for a waiver of federal preemption pursuant to section 209(b) of the Clean Air Act;

BE IT FURTHER RESOLVED that the Board directs the staff to continue to closely monitor vehicle manufacturers in complying with the requirements of section 1968.1, Title 13, CCR, and to report to the Board in 1996 if further amendments to the regulations are necessary for future model year vehicles.

I hereby certify that the above is a true and correct copy of Resolution 94-67 as adopted by the Air Resources Board.



Pat Hutchens, Board Secretary

Modifications to Section 1968.1, Title, 13, California Code of Regulations

These are amendments to section 1968.1, Title 13, CCR. Amendments adopted by the Board on December 8, 1994 are shown in underline to indicate additions and ~~strikeout~~ to indicate deletions. Amendments noticed for comment between January 19 and February 3, 1995, are indicated by double underline for additions and *~~italics with strikeout~~* to indicate deletions.



1968.1 Malfunction and Diagnostic System Requirements--1994 and Subsequent Model-Year Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles and Engines

(a) GENERAL REQUIREMENTS

- (1.0) All 1994 and subsequent model-year passenger cars, light-duty trucks, and medium-duty vehicles shall be equipped with a malfunction indicator light (MIL) located on the instrument panel that will automatically inform the vehicle operator in the event of a malfunction of any powertrain components which can affect emissions and which provide input to, or receive output from, the on-board computer(s) or of the malfunction of the on-board computer(s) itself. The MIL shall not be used for any other purpose.
- (1.1) The MIL shall be of sufficient illumination and location to be readily visible under all lighting conditions. The MIL shall illuminate in the engine-run key position before engine cranking to indicate that the MIL is functional and shall, when illuminated, display the phrase "Check Engine" or "Service Engine Soon". The word "Powertrain" may be substituted for "Engine" in the previous phrases. Alternatively, the International Standards Organization (ISO) engine symbol may be substituted for the word "Engine."
- (1.2) All 1994 and subsequent model-year passenger cars, light-duty trucks, and medium-duty vehicles required to have MIL pursuant to (1.0) above shall also be equipped with an on-board diagnostic system capable of identifying the likely area of the malfunction by means of fault codes stored in computer memory. These vehicles shall be equipped with a standardized electrical connector to provide access to the stored fault codes. Specific performance requirements are listed below. A glossary of terms is contained in subsection (n)¹ at the end of this section.
- (1.3) Any reference to vehicles in this regulation shall also include medium-duty vehicles with engines certified on an engine dynamometer.
- ~~(1.4) Manufacturers of diesel engines utilizing computer-based electronic powertrain control systems shall submit a plan for complying with these requirements to the Executive Officer for approval at least two years prior to certification. The plan shall be approved based on monitoring all powertrain components which can affect emissions and for which reliable monitoring techniques are available at costs comparable to other engines meeting these requirements, and on meeting all other applicable requirements (e.g., storing freeze frame conditions, meeting standardization requirements, etc.).~~

¹ Unless otherwise noted, all section references are contained within this subsection of Title 13, CCR.

- (1.54) For Low Emission Vehicles (LEV), the Executive Officer shall revise the emission threshold for a malfunction on any check if the most reliable monitoring method developed requires a higher threshold to prevent significant errors of commission in detecting a malfunction.
- (1.65) For every case in which a malfunction is to be noted when an emission threshold is exceeded (e.g., emissions in excess of 1.5 times the standard), the manufacturer may perform only a functional check (defined in section (n)(16.0)) of a specific component or system if deterioration or failure of such would not cause the vehicle's emissions to exceed the emission threshold.
- (1.76) After the 1998 model year, for Non-LEVs, fulfillment of federal On-Board Diagnostic (OBD) requirements shall be deemed to be an acceptable option for the manufacturer for the purpose of meeting these requirements.
- (1.87) For 1994 and 1995 model years only, illumination of the malfunction indicator light upon detection of a malfunction shall be optional for catalyst, misfire, and complete evaporative system monitoring. MIL illumination for such vehicles shall be optional for other monitoring requirements, subject to Executive Officer approval, on the basis of use of a new monitoring strategy which is significantly different than that used previously by the manufacturer and/or which entails a high degree of sophistication in its application. Irrespective of the preceding the MIL shall illuminate on these vehicles in accordance with section 1968.1 for lack of function (see section (n)(16.0)) for electronic components/systems otherwise approved for not illuminating the MIL. Furthermore, setting fault codes for all malfunctions shall continue to conform with requirements of section 1968.1. For components/systems not requiring illumination of the MIL, manufacturers shall provide a plan for approval by the Executive Officer for reporting on the correct performance of the monitoring systems in customer use at 6 month intervals beginning from the start of production each year for at least the first three years after production. Approval of the plan shall be based on obtaining a statistically valid sample size, assuring that adequate resources are available to investigate the potential problems, and assuring that a wide variety of vehicles, operating modes, and mileage accumulation will be included in the evaluation. Should incorrect performance of the diagnostic system be determined by the Executive Officer on the basis of these reports or through other means, manufacturers shall recall the vehicles for correction of the OBD II system in accordance with Article 2.2, Title 13 CCR, or they shall submit an alternate plan for remedying the problem for approval by the Executive Officer on the basis of achieving comparable capture rates and timeliness as an official recall plan.
- (1.98) Manufacturers may employ alternate statistical MIL illumination and fault code storage protocols to those specified in these requirements, subject to Executive Officer approval based on comparable timeliness in detecting a malfunction and evaluating system performance. For strategies requiring on average between three and six driving cycles for MIL illumination, the manufacturer shall provide data and/or an engineering evaluation which adequately demonstrate that the monitoring system is equally effective and timely in detecting component deterioration. Strategies requiring on average more than six driving cycles for MIL illumination shall not be accepted.

- (1.9) Regarding diagnostic system monitoring conditions and MIL illumination requirements, manufacturers are generally required to define appropriate operating conditions for monitoring, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable Federal Test Procedure (FTP) test. Upon detection of a malfunction, the MIL is to be illuminated and a fault code stored no later than the end of the next driving cycle during which monitoring occurs provided the malfunction is again detected. Until the 1997 model year, diagnostic strategies that illuminate the MIL on the basis of completing a trip (trip is defined in section (n)(16.0) of these requirements) shall be accepted. When a trip criterion is employed, upon detection of a malfunction, the diagnostic system shall store a fault code and the MIL shall be illuminated no later than the end of the next trip if the malfunction is again present.
- (2.0) Manufacturers may request Executive Officer approval to disable a diagnostic system designed to meet the requirements of section (b) at ambient engine starting temperatures below twenty degrees Fahrenheit, and at elevations above eight thousand feet above sea level provided the manufacturer submits data and/or an engineering evaluation which adequately demonstrate that monitoring would be unreliable when such conditions exist. Notwithstanding, diagnostic system disablement may be requested at other ambient engine starting temperatures if the manufacturer adequately demonstrates with data and/or an engineering evaluation that misdiagnosis would occur due to the impact of such ambient temperatures on the performance of the component itself (e.g., component freezing).
- (2.1) Manufacturers may disable monitoring systems that can be affected by running out of fuel (e.g., misfire detection) when the fuel level is low, provided disablement will not occur when the fuel level is above 15 percent of the nominal capacity of the fuel tank.
- (2.2) For vehicles designed to accommodate the installation of Power Take-Off (PTO) units (defined in section (n)(19.0)), disablement of affected monitoring systems is permitted provided disablement occurs only while the PTO unit is active, and provided the OBD II readiness code (specified in section (e)) is cleared by the on-board computer (i.e., all bits shall be set to "test not complete") while the PTO unit is activated. The code may be restored to its state prior to PTO activation upon PTO de-activation. ~~upon~~ activation of the PTO unit.

(b) MONITORING REQUIREMENTS

(1.0) CATALYST MONITORING

(1.1) Requirement:

- (1.1.1) ~~LEVs: The diagnostic system shall individually monitor the front catalyst or catalysts (i.e., any catalyst(s) which receive engine-out untreated exhaust gas), except that front catalysts may be monitored in combination with the next catalyst downstream if it can be demonstrated that a malfunction will be indicated when the front catalyst is alone malfunctioning (see (b) (1.2.1)). Catalysts arranged in~~

~~parallel with the same inlet and outlet in a single exhaust pipe shall be considered as one catalyst. A separate catalyst incorporated in series into the same container as a front catalyst shall be considered a downstream catalyst. The diagnostic system shall monitor the catalyst system for proper performance.~~

~~(1.1.2) If the front catalyst is a small volume catalyst (see (b)(1.2.2)), the diagnostic system shall also monitor the next catalyst downstream of the small volume catalyst either independently of, or (if the conditions in section (1.1.1) are met) in combination with, the small volume catalyst.~~

~~(1.1.3) Manufacturers may submit other monitoring strategies, subject to Executive Officer approval, based on equal timeliness and reliability in detecting a catalyst malfunction as these requirements.~~

~~(1.1.4) Non-LEVs: The diagnostic system shall monitor the catalyst system for proper performance.~~

(1.1.2) Manufacturers are not required to implement these catalyst monitoring requirements on diesel vehicles and engines. Further, manufacturers of spark-ignited lean-burn vehicles and engines may request that the Executive Officer exempt such applications from these catalyst monitoring requirements if it can be demonstrated that a reliable monitoring technology is not available. The Executive Officer shall approve such a request upon determining that all reasonable monitoring technologies have been considered to the extent possible.

(1.2) Malfunction Criteria:

(1.2.1) Low Emission Vehicles (see section (n)(14.0)): Each monitored catalyst, or combination of catalysts, shall be considered malfunctioning when average Federal Test Procedure (FTP) total hydrocarbon (HC) conversion efficiency falls between 50 to 60 percent. The efficiency determination shall be based on an FTP test wherein a malfunction is noted when the cumulative total HC emissions measured at the outlet of the monitored catalyst(s) is more than 40 to 50 percent of the cumulative total engine-out emissions measured at the inlet of the catalyst(s). In addition, if a front catalyst is monitored in combination with a downstream catalyst, the front catalyst shall be considered malfunctioning when its efficiency has deteriorated between 40 to 50 percent from its 4000 mile average FTP total HC efficiency. The catalyst system shall be considered malfunctioning when its conversion capability decreases to the point that either of the following occurs: 1) Hydrocarbon (HC) emissions exceed the applicable emission threshold² specified in section (b)(1.2.2) below, or 2) the average Federal Test Procedure (FTP) Non-Methane Hydrocarbon (NMHC) conversion

² When related to emission standards, the malfunction criteria shall be based on the emission standards to which the vehicle is certified. For low emission vehicle applications, hydrocarbon emissions shall be multiplied by the certification reactivity adjustment factor for the vehicle.

efficiency of the monitored portion of the catalyst system falls below 50 percent. Regarding the second criterion, the efficiency determination shall be based on an FTP test wherein a malfunction is noted when the cumulative NMHC emissions measured at the outlet of the monitored catalyst(s) are more than 50 percent of the cumulative engine-out emissions measured at the inlet of the catalyst(s).

(1.2.2) TLEV applications shall employ an emission threshold malfunction criterion of 2.0 times the applicable FTP HC standard plus the emissions from a test run with a representative 4000 mile catalyst system (125 hours of operation for medium-duty vehicles with engines certified on an engine dynamometer). The emission threshold criterion for LEV applications shall be 2.5 times the applicable FTP HC standard plus the emission level with a representative 4000 mile catalyst system. Notwithstanding, beginning with the 1998 model year, manufacturers shall phase in an emission threshold of 1.5 times the applicable FTP HC standard for all categories of low emission vehicles, which shall not include the emission level with a 4000 mile catalyst system. The phase in percentages (based on the manufacturer's projected sales volume for low emission vehicle applications) shall equal or exceed 40 30 percent in the 1998 model year, 70 60 percent in the 1999 model year, with 100 percent implementation for the 2000 model year. ULEV applications shall comply with the 1.5 times the standard emission threshold, and shall be included in the phase-in percentages specified above; however, prior to the 1998 model year, the Executive Officer shall revise the emission threshold for such vehicles if the manufacturer submits data and/or an engineering evaluation which adequately demonstrate that substantial vehicle body and/or catalyst system modifications would be necessary for this threshold to be met.

~~(1.2.2) For LEVs, each small volume catalyst (i.e., those designed with a conversion efficiency too low to be practically monitored for 50 to 60 percent average FTP total HC efficiency) monitored independently shall be considered malfunctioning when its average FTP conversion efficiency has deteriorated by between 40 to 50 percent from its 4000 mile conversion efficiency.~~

(1.2.3) Non-Low Emission Vehicles: The catalyst system shall be considered malfunctioning when its conversion capability decreases to the point that HC emissions increase by more than 1.5 times the standard over an FTP test from a test run with a representative 4000 mile catalyst system.

(1.2.4) For 1994 and 1995 model year vehicles and engines LEVs and Non-LEVs, as an option to monitoring the catalyst during FTP driving conditions, manufacturers may monitor the front catalyst independently of, or in combination with, the next catalyst downstream. Each monitored catalyst or catalyst combination shall be considered malfunctioning when total HC conversion efficiency falls below 60 percent while in normal closed loop operation. As a guideline, the catalyst(s) should not be considered malfunctioning when its efficiency is greater than 80 percent. The efficiency determination shall be based on a steady state test wherein a malfunction is noted when the total HC emission concentration measured at the outlet of the monitored catalyst(s) is more than 20 to 40 percent of the cumulative total engine-out emissions measured at the inlet of the

catalyst(s). Alternatively, if correlation with FTP emissions can be demonstrated, manufacturers may use the malfunction criteria specified in (b)(1.2.1) or (b)(1.2.3). 1994 and 1995 model year vehicles certified to this option shall incorporate FTP based monitoring no later than the 1997 model year (vehicles initially complying with section 1968.1 in the 1996 model year shall utilize an FTP based catalyst monitoring system).

(1.3) Monitoring Conditions:

(1.3.1) ~~A catalyst monitoring check shall occur at least once per trip except for vehicles utilizing steady state monitoring, which shall comply with section (1.3.2). "Trip" is defined in section (n) (5.0). This trip definition applies throughout section 1968.1. The manufacturer shall define appropriate operating conditions during which monitoring shall occur, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. However, vehicles utilizing steady state monitoring (as permitted by section (1.2.3) above), may alternatively comply with the monitoring conditions specified in section (1.3.2). The monitoring system shall operate at least once per driving cycle during which the manufacturer-defined monitoring conditions are met.~~

(1.3.2) If steady state efficiency is being monitored (see section (b) (1.2.4)), the manufacturer shall choose a non-closed throttle, reasonably steady speed condition for monitoring the catalyst with the constraints that the check shall (i) occur between 20 mph and 50 mph, or within an engine rpm and torque range determined by the manufacturer to be representative of medium-duty vehicle operating conditions between 20 and 50 mph steady speed conditions with a load equivalent to 50 percent of the maximum load carrying capacity, (ii) take no more than a 20 second interval to determine both that the vehicle is operating in a proper window to perform the check and to actually perform the check, and (iii) be conducted at the earliest such condition encountered after the beginning of closed-loop operation for each driving cycle. Performance of the check may be delayed after engine startup until stabilized coolant temperature is achieved and/or a suitable cumulative time interval of non-closed throttle vehicle operation has elapsed to ensure the catalyst is warmed-up for properly performing the monitoring check. The specified cumulative time interval shall begin from the first non-closed throttle operation either after achieving a stabilized coolant temperature or after engine starting and shall not exceed 180 seconds. These monitoring constraints and conditions may be altered, subject to Executive Officer Approval. Such approval shall be granted if the manufacturer submits data and an engineering evaluation justifying the need for the exception and demonstrates that the requested alteration would yield improved catalyst monitoring. "Reasonably steady" speed interval in this instance means a 20 second period where all accelerations and decelerations are of an average magnitude equivalent to 0.5 mph/second or less over any two second interval during this period. The

manufacturer may abort the check if the engine operating conditions change during the check so that the vehicle exceeds the speed or acceleration/deceleration tolerances before the end of the checking interval. The manufacturer may base performance of the catalyst check upon engine RPM and load conditions equivalent to the above monitoring conditions. If a manufacturer develops a means of monitoring catalyst efficiency which cannot utilize a steady state monitoring period (e.g., examining time vs. temperature during catalyst warmup), it may present a monitoring proposal to the Executive Officer for approval based on equivalent accuracy and timeliness as the steady state monitoring protocol in detecting a malfunctioning catalyst.

(1.4) MIL Illumination and Fault Code Storage:

- (1.4.1) ~~Except as noted below, upon detection of a catalyst malfunction, the diagnostic system shall store a fault code and the MIL shall be illuminated no later than the end of the next trip if the malfunction is again present.~~ upon detection of a catalyst malfunction, the MIL shall illuminate and a fault code stored no later than the end of the next driving cycle during which monitoring occurs provided the malfunction is again present.
- (1.4.2) For steady state catalyst efficiency checks, upon detection of catalyst efficiency below 60 percent, the diagnostic system may perform up to two successive monitoring checks prior to informing the vehicle operator of a malfunction. These monitoring checks need not occur on the same driving cycle, but shall be performed as soon as proper monitoring conditions occur. If catalyst efficiency remains below 60 percent for the three sequential checks, a fault code shall be stored and the MIL shall then be activated.
- (1.4.3) The diagnostic system shall temporarily disable catalyst monitoring when a malfunction exists which could affect the proper evaluation of catalyst efficiency.
- (1.4.4) The monitoring method for the catalyst(s) shall be capable of detecting when a catalyst trouble code has been cleared (except diagnostic system self-clearing), but the catalyst has not been replaced (e.g., catalyst overtemperature approaches may not be acceptable).

(2.0) HEATED CATALYST MONITORING

(2.1) Requirement:

- (2.1.1) The diagnostic system shall monitor all heated catalyst systems for proper heating.
- (2.1.2) ~~In addition to the non-heated catalyst requirements in section (b) (1), the HC conversion efficiency of all heated catalysts shall each be monitored.—~~
~~Manufacturers may monitor heated catalysts in combination with another catalyst if it can be demonstrated that a malfunction will be indicated when the heated~~

~~catalyst is malfunctioning. Otherwise, the heated catalyst shall be monitored independently. If a heated catalyst is a small volume front catalyst, the diagnostic system shall also monitor the next catalyst downstream either independently of, or (if the conditions above are met) in combination with, the small volume heated catalyst. The efficiency of heated catalysts shall be monitored in conjunction with the requirements of section (b)(1).~~

(2.2) Malfunction Criteria:

~~(2.2.1) Pre Start Heated Catalyst Systems: The system shall be considered malfunctioning when the designated pre-start catalyst temperature is not attained before engine starting.~~

~~(2.2.21) After Start Heated Catalyst Systems~~ The catalyst heating system shall be considered malfunctioning when the catalyst does not reach its designated heating temperature within a requisite time period after engine starting. The time period is to be determined by the manufacturer subject to the requirement that the system shall detect a heating system malfunction causing emissions from a vehicle equipped with the heated catalyst system to exceed 1.5 times any of the applicable FTP standards.

~~(2.2.32)~~ Manufacturers using other heating or monitoring strategies may submit an alternate plan for approval by the Executive Officer to monitor heated catalyst systems based on comparable reliability and timeliness to these requirements in detecting a catalyst heating malfunction.

~~(2.2.4) Except as noted in section (b) (1.2.4), the diagnostic system shall use the malfunction criteria specified in section (b)(1.2.1) or section (b) (1.2.2), whichever is applicable, when monitoring the conversion efficiency of a heated catalyst.~~

(2.3) Monitoring Conditions:

~~(2.3.1) Manufacturers shall define appropriate operating conditions for monitoring of the catalyst heating system, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. The monitoring system shall operate at least once per driving cycle during which the manufacturer-defined monitoring conditions are met. Pre Start Heated Catalyst Systems: The diagnostic system shall monitor the heating system for proper operation once per trip. Manufacturers may disable the monitoring system for one engine start if during the previous driving cycle the vehicle traveled less than the equivalent of the first one mile of FTP driving.~~

~~(2.3.2) After Start Heated Catalyst Systems: The diagnostic system shall monitor the heating system for proper operation once per trip. Manufacturers may disable the monitoring system for one engine start if during the previous driving cycle the vehicle traveled less than the equivalent of the first one mile of FTP driving.~~

~~(2.3.3) Except as noted in section (b) (1.2.4), the diagnostic system shall monitor the conversion efficiency of all heated catalysts at least once per trip.~~

(2.4) MIL Illumination and Fault Code Storage:

~~(2.4.1) Upon detection of a catalyst heating malfunction, the diagnostic system shall store a fault code and the MIL shall be illuminated no later than the end of the next trip if the malfunction is again present. the MIL shall illuminate and a fault code stored no later than the end of the next driving cycle during which monitoring occurs provided the malfunction is again present.~~

~~(2.4.2) For heated catalyst efficiency malfunctions, the MIL shall be illuminated, and a fault code stored according to section (b) (1.4).~~

(3.0) MISFIRE MONITORING

(3.1) Requirement: The diagnostic system shall monitor engine misfire and shall identify the specific cylinder experiencing misfire. Manufacturers may request Executive Officer approval to store a general misfire fault code instead of a cylinder specific code under certain operating conditions provided the manufacturer submits data and/or an engineering evaluation which adequately demonstrate that the misfiring cylinder cannot be reliably identified when such conditions occur. If more than one cylinder is misfiring, a separate code shall indicate that multiple cylinders are misfiring (specifying the individual misfiring cylinders under this condition is optional, however, identifying only one misfiring cylinder shall not occur when a multiple misfire code is stored).

(3.2) Malfunction Criteria: The manufacturer shall specify in the documentation provided for certification (see subsection (g) and (h) infra.) a percentage of misfires out of the total number of firing events necessary for determining a malfunction for each of the conditions listed below.

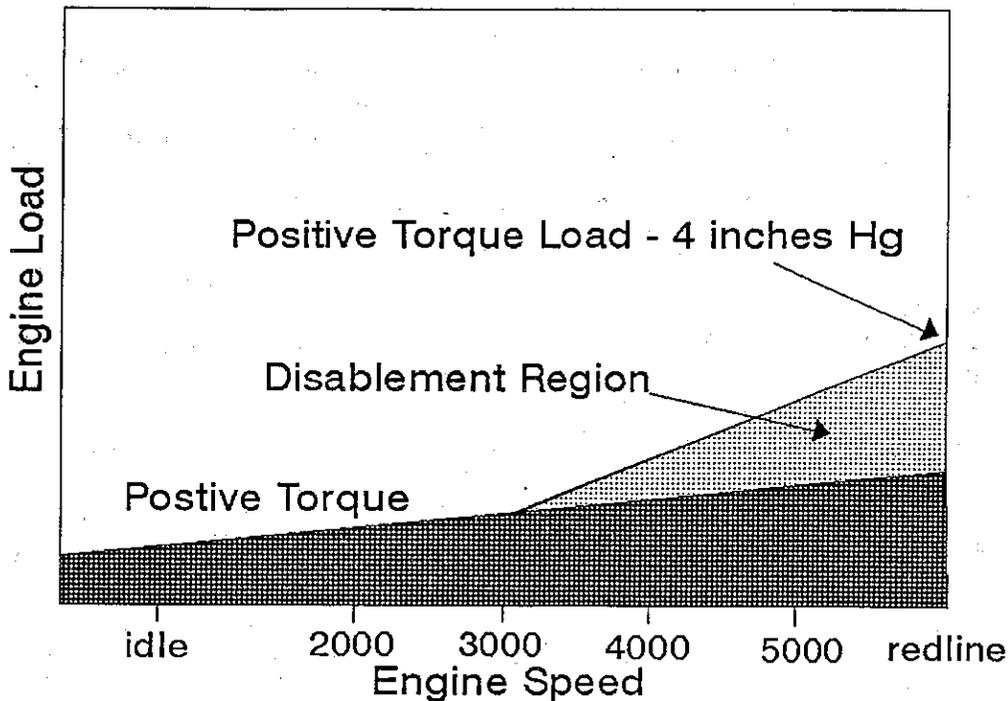
(A) The percent misfire evaluated in 200 revolution increments for each engine speed and load condition which would result in catalyst damage. Subject to Executive Officer approval, a longer interval (up to 1000 revolutions) may be employed provided the manufacturer submits data and/or an engineering evaluation which adequately demonstrate that catalyst damage would not occur due to unacceptably high catalyst temperatures before the interval has elapsed. The manufacturer shall submit in the certification documentation catalyst temperature data versus percent misfire over the full range of engine speed and load conditions. The data shall be obtained from a representative cross section of a manufacturer's engine offerings from small to large displacements. Up to three such engine evaluations shall be documented per manufacturer, though a manufacturer may submit more data if desired. An engineering evaluation shall be provided for establishing malfunction criteria for the remainder of engine families in the manufacturer's product line. The Executive Officer shall waive the evaluation requirement each year if, in the judgment of the Executive Officer, technological changes do not affect the previously determined malfunction criteria;

- (B) The percent misfire evaluated in 1000 revolution increments which would cause emissions from a durability demonstration vehicle to exceed 1.5 times any of the applicable FTP standards if the degree of misfire were present from the beginning of the test. ~~If the level of misfire determined under this requirement is significantly lower for an LEV as opposed to a Non-LEV with a similar engine design, the manufacturer may request approval from the Executive Officer to use a higher percentage of misfire as the malfunction criteria for the LEV, not to exceed the level of the Non-LEV.~~ For the purpose of establishing the percent misfire, the manufacturer shall conduct the demonstration test(s) with the misfire events occurring at equally spaced complete engine cycle intervals, across randomly selected cylinders throughout each 1000 revolution increment. However, the percent misfire established shall be applicable for any misfire condition (e.g. random, continuous, equally spaced, etc.) for the purpose of identifying a malfunction. This criterion ~~shall~~ may be used for all vehicles with engines containing the same number of cylinders as the demonstration vehicle. The number of misfires in 1000 revolution increments which was determined for the durability demonstration vehicle malfunction criterion may be used to establish the corresponding percent misfire malfunction criteria for engines with other numbers of cylinders. The malfunction criteria for a manufacturer's product line shall be updated when a new durability demonstration vehicle is tested which indicates more stringent criteria are necessary than previously established to remain within the above emission limit;
- (C) The degree of misfire evaluated in 1000 revolution increments which would cause a durability demonstration vehicle to fail an Inspection and Maintenance program tailpipe emission test. This criterion shall apply to vehicles with the same number of cylinders as the demonstration vehicle. The number of misfires in 1000 revolution increments which was determined for the durability demonstration vehicle malfunction criterion may be used to establish the corresponding percent misfire malfunction criteria for engines with other numbers of cylinders. The malfunction criteria for a manufacturer's product line shall be updated when a new durability demonstration vehicle is tested which indicates more stringent criteria are necessary than previously established to ensure passing an Inspection and Maintenance test, or when the Inspection and Maintenance test is revised.
- (3.3) Monitoring Conditions: ~~For 1997 and later model year vehicles, misfire shall be monitored continuously and under all positive torque engine speeds and conditions.~~
- (3.3.1) ~~For pre-1997 model year vehicles,~~ Pre-1997 Model Year Vehicles: misfire shall be monitored continuously during, at a minimum, positive torque operating conditions within the range of engine speed and load condition combinations encountered during an FTP test; nonetheless, subject to Executive Officer approval, manufacturers may employ higher misfire percentage malfunction criteria ~~disable misfire monitoring~~ under specific conditions within the range of operating conditions encountered during an FTP test if the manufacturer provides data and/or an engineering evaluation which can adequately demonstrate that the detection of lower levels of misfire monitoring is not ~~would not be reliable feasible~~

for the vehicle model in question when such conditions are encountered without making fundamental engine or control unit design modifications. If the manufacturer can so demonstrate that even the detection of a higher misfire percentages is not feasible under specific FTP operating conditions, the manufacturer may request Executive Officer approval to disable the monitoring system when such conditions are encountered. Further, with Executive Officer approval, the manufacturer may disable misfire monitoring when misfire cannot be distinguished from other effects when using the best available monitoring technology. The manufacturer shall present data and an engineering evaluation to the Executive Officer to justify the proposed action.

(3.3.2) 1997 and Later Model Year Vehicles: Manufacturers shall phase in expanded misfire monitoring conditions beginning with the 1997 model year. The phase in percentages (based on the manufacturer's projected sales volume for all vehicles and engines) shall equal or exceed 50 percent in the 1997 model year, 75 percent in the 1998 model year, 90 percent in the 1999 model year, with 100 percent implementation for the 2000 model year. Small volume manufacturers shall not be required to meet the phase-in percentages; however, 100 percent implementation of these monitoring conditions shall be required beginning with the 2000 model year. On vehicles meeting these phase-in percentages, except as provided for in section (3.3.3) below, monitoring for misfire shall be continuous and under all positive torque engine speeds and load conditions. Vehicles not meeting the monitoring conditions of this section shall meet the monitoring conditions specified in section (b)(3.3.1) above.

(3.3.3) As an exception to monitoring misfire during all positive torque operating conditions, manufacturers may disable misfire monitoring in the engine operating region bound by the positive torque line (i.e., engine load with the transmission in neutral), and the two following engine operating points: an engine speed of 3000 rpm with the engine load at the positive torque line, and the redline engine speed (defined in section (n)(18.0)) with the engine's manifold vacuum at four inches of mercury lower than that at the positive torque line. Below is a diagram of the permitted misfire detection disablement region with respect to the requirements of this section. Further, with Executive Officer approval, the manufacturer may disable misfire monitoring when misfire cannot be distinguished from other effects (e.g., rough roads, transmission shifts, etc.) when using the best available monitoring technology. The manufacturer shall present data and/or an engineering evaluation to the Executive Officer to justify the proposed action. Executive Officer approval shall be based on the extent to which monitoring is expected to be disabled in relation to the capabilities of the best available monitoring technologies as applied to other engines.



(3.4) MIL Illumination and Fault Code Storage:

- (3.4.1) Except as provided below, upon detection of the level of misfire specified in subsection (3.2) (A), the MIL shall blink once per second during actual misfire conditions and remain continuously illuminated otherwise. In vehicles which provide fuel shutoff and default fuel control to prevent overfueling during misfire conditions, the MIL need not blink and may instead illuminate continuously upon detection of misfire provided that the fuel shutoff and default control shall be activated as soon as misfire is detected. Fuel shutoff and default fuel control may be deactivated only to permit fueling outside of the misfire range.
- (3.4.2) Upon detection of the misfire levels specified in subsection (3.2) (B) or (C), the MIL shall be illuminated and a fault code stored no later than the end of the next driving cycle if misfire is again detected. If misfire is not detected during the second driving cycle, the MIL shall be illuminated and a fault code stored no later than the next driving cycle in which misfire is detected, unless driving conditions similar to those under which misfire was originally detected have been encountered (see section (3.4.3)) without an indication of misfire, in which case the initial temporary code and stored conditions may be erased. Furthermore, if similar driving conditions are not encountered during 80 driving cycles trips subsequent to the initial detection of a malfunction, the initial temporary code and stored conditions may be erased.
- (3.4.3) Upon detection of misfire, manufacturers shall store the engine speed, load, and warm-up status (i.e., cold or warmed-up) under which the first misfire event was detected. A ~~trip or~~ driving cycle shall be considered to have similar conditions if the stored engine speed conditions are encountered within 375 rpm, load

conditions within 10 percent, and the same warm-up status is present. With Executive Officer approval, other strategies for determining if similar conditions have been encountered may be employed. Approval shall be based on comparable timeliness and reliability in detecting similar conditions.

(3.5) MISFIRE MONITORING FOR DIESELS

- (3.5.1) Requirement: Beginning with the 1998 model year, the diagnostic system on a diesel engine shall be capable of detecting the lack of combustion in one or more cylinders. The diagnostic system shall also identify the specific cylinder for which combustion cannot be detected. If the lack of combustion is present in more than one cylinder, a separate code shall indicate that multiple cylinders are malfunctioning (specifying the individual malfunctioning cylinders under this condition is optional; however, identifying one malfunctioning cylinder shall not occur when a multiple cylinder code is stored).
- (3.5.2) Malfunction Criteria: A cylinder shall be considered malfunctioning when combustion cannot be detected.
- (3.5.3) Monitoring Conditions: Manufacturers shall define appropriate operating conditions for monitoring, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. The monitoring system shall operate at least once per driving cycle during which the manufacturer-defined monitoring conditions are met.
- (3.5.4) MIL Illumination and Fault Code Storage: The MIL shall illuminate and a fault code shall be stored no later than the end of the next driving cycle during which monitoring occurs provided the malfunction is again present.

(4.0) EVAPORATIVE SYSTEM MONITORING

(4.1) Requirement:

- (4.1.1) The diagnostic system shall verify air flow from the complete evaporative system. In addition, the diagnostic system shall also monitor the evaporative system for the loss of HC vapor into the atmosphere by performing a pressure or vacuum check of the complete evaporative system.
- (4.1.2) Manufacturers may temporarily disable the evaporative purge system to perform a check.
- (4.1.3) Manufacturers may request Executive Officer approval to abort an evaporative system check under specific conditions (e.g., when the fuel tank level is over 85 percent of nominal tank capacity) if it can be data and/or an engineering evaluation are provided which adequately demonstrated that a reliable check cannot be made when these conditions exist.

- (4.1.4) Subject to Executive Officer approval, other monitoring strategies may be used provided the manufacturer provides a description of the strategy and supporting data showing equivalent monitoring reliability and timeliness in detecting an evaporative system malfunction or leak.
- (4.1.5) Implementation of this requirement is mandatory only for 1996 and later model year vehicles designed to comply with the requirements of Title 13, California Code of Regulations, Section 1976, "Standards and Test Procedures for Motor Vehicle Fuel Evaporative Emissions," for 1995 and subsequent model year vehicles.
- (4.2) Malfunction Criteria:
- (4.2.1) An evaporative system shall be considered malfunctioning when no air flow from the system can be detected, or when a system leak is detected that is greater than or equal in magnitude to a leak caused by a 0.040 inch diameter orifice in any portion of the evaporative system excluding the tubing and connections between the purge valve and the intake manifold.
- (4.2.2) Beginning with the 1998 2000 model year, manufacturers shall phase-in diagnostic strategies to detect system leaks greater than or equal in magnitude to a leak caused by a 0.020 inch diameter orifice. The phase-in percentages (based on the manufacturer's projected sales volume for all vehicles) shall equal or exceed 50 percent for the 1998 2000 model year, 75 percent for the 1999 2001 model year, and 100 percent implementation for the 2000 2002 model year. Small volume manufacturers shall not be subject to the phase-in requirements; however, 100 percent implementation shall be required for 2000 2002 model year.
- (4.2.3) On vehicles with fuel tank capacity greater than 25 gallons, the Executive Officer shall revise the size of the orifice if the most reliable monitoring method available cannot reliably detect a system leak of ~~this~~ the magnitudes indicated above.
- (4.3) Monitoring Conditions: ~~The monitoring system shall monitor the evaporative system at least once per trip.~~ Manufacturers shall define appropriate operating conditions for monitoring, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. The monitoring system shall operate at least once per driving cycle during which the manufacturer-defined monitoring conditions are met. However, monitoring conditions may be further limited with respect to detecting leaks equivalent to a 0.020 inch diameter orifice, subject to Executive Officer approval, on the basis that the monitoring conditions will be reasonably-occurring in-use, and provided that a check for leaks equal or greater in magnitude than a 0.040 inch orifice will continue to be conducted at least once per driving cycle as indicated above. Subject to Executive Officer approval, if performance of the check causes vehicles to exceed applicable emission standards when using the best available technology, manufacturers may perform evaporative system monitoring during a steady-speed condition, as defined in section (b) (1.3.2), between 20 and 50 mph.

(4.4) MIL Illumination and Fault Code Storage:

- (4.4.1) Upon detection of an evaporative system malfunction or a malfunction that prevents completion of an evaporative system check, the MIL shall illuminate and a fault code shall be stored no later than the end of the next driving cycle during which monitoring occurs provided the malfunction is again present. ~~the diagnostic system shall store a fault code and the MIL shall illuminate no later than the end of the next trip if the malfunction is again detected.~~
- (4.4.2) If the diagnostic system is capable of discerning that a system leak is being caused by a missing or improperly secured fuel cap, the manufacturer may notify the vehicle operator through the use of an indicator light other than the MIL. The manufacturer is not required to store a fault code in this case. The indicator light shall conform to the requirements outlined in section (a) (1.1) for location and illumination. As another option, the manufacturer may extinguish the MIL, provided no other malfunctions have been detected, and may erase the fault code corresponding to the problem once the on-board diagnostic system has verified that the fuel cap specifically has been securely fastened. Other equivalent strategies shall be considered by the Executive Officer.

(5.0) SECONDARY AIR SYSTEM MONITORING

- (5.1) Requirement: Any vehicle equipped with any form of secondary air delivery system shall have the diagnostic system monitor the proper functioning of (i) the secondary air delivery system and (ii) any air switching valve.
- (5.2) Malfunction Criteria:
- (5.2.1) The diagnostic system shall indicate secondary air delivery system malfunction when the flow rate falls below the manufacturer's specified low flow limit such that a vehicle would exceed 1.5 times any of the applicable FTP emission standards.
- (5.2.2) Manufacturers adequately demonstrating that deterioration of the flow distribution system is unlikely may request Executive Officer approval to perform only a functional check of the system. As part of this demonstration, manufacturers shall demonstrate that the materials used for the secondary air system (e.g., air hoses, and tubing) are inherently resistant to corrosion or other deterioration. ~~In such a case,~~ if a functional check is approved, the diagnostic system shall indicate a malfunction when some degree of secondary airflow is not detectable in the exhaust system during a check.
- (5.3) Monitoring Conditions: The monitoring of the secondary air delivery system and the air switching valve shall occur once per trip. Manufacturers shall define appropriate operating conditions for monitoring of the secondary air system, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. The monitoring system shall operate at least once per driving cycle during which the manufacturer-defined monitoring conditions are met.

(5.4) MIL Illumination and Fault Code Storage: The diagnostic system shall store a fault code and the MIL shall illuminate no later than the end of the ~~next trip if the malfunction is again detected~~ next driving cycle during which monitoring occurs provided the malfunction is again present.

(6.0) AIR CONDITIONING SYSTEM REFRIGERANT MONITORING

(6.1) Requirement:

(6.1.1) The diagnostic system shall monitor air conditioning systems for loss of refrigerants which could harm the stratospheric ozone layer or are reactive in forming atmospheric ozone. Any sensor used for such monitoring shall itself be monitored for proper circuit continuity and proper range of operation. A provision for ensuring that a leak has been corrected before extinguishing the MIL shall be provided.

(6.1.2) Manufacturers of a model vehicle which will phase out the use of chlorofluorocarbons in its air conditioning systems by the 1996 model-year or which will use federally-approved refrigerants with substantially less atmospheric ozone depleting potential than CFC-12 need not comply with this requirement for that model.

(6.2) Malfunction Criteria: Manufacturers shall provide a monitoring strategy for approval by the Executive Officer for monitoring a refrigerant leak. The approval shall be based on timeliness and reliability in detecting a leak.

(6.3) Monitoring Conditions: ~~The diagnostic system shall monitor the air conditioning system at least once per trip.~~ Manufacturers shall define appropriate operating conditions for monitoring, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. The monitoring system shall operate at least once per driving cycle during which the manufacturer-defined monitoring conditions are met

(6.4) MIL Illumination and Fault Code Storage: The diagnostic system shall store a fault code and the MIL shall illuminate no later than the end of the next ~~trip if the malfunction is again present~~ driving cycle during which monitoring occurs provided the malfunction is again present. The diagnostic system shall not clear a fault code and the MIL shall not turn off unless the leak has been corrected.

(7.0) FUEL SYSTEM MONITORING

(7.1) Requirement: The diagnostic system shall monitor the fuel delivery system for its ability to provide compliance with emission standards. For diesel vehicles and engines, the manufacturer shall monitor the performance of all electronic fuel system components to the extent feasible with respect to the malfunction criteria specified in section (7.2) below.

- (7.2) **Malfunction Criteria:** The manufacturer shall establish malfunction criteria to monitor the fuel delivery system such that a vehicle's emissions would not exceed 1.5 times any of the applicable FTP standards before a fault is detected. If the vehicle is equipped with fuel trim circuitry, the manufacturer shall include as one of the malfunction criteria the condition where the trim circuitry has used up all of the trim adjustment allowed within the manufacturer's selected limit(s). Manufacturers may compensate the criteria limit(s) appropriately for changes in altitude or for temporary introduction of large amounts of purge vapor or for other similar identifiable operating conditions when they occur.
- (7.3) **Monitoring Conditions:** The fuel system shall be monitored continuously for the presence of a malfunction.
- (7.4) **MIL Illumination and Fault Code Storage:**
- (7.4.1) For fuel systems with short-term trim only capability, the diagnostic system shall store a fault code after the fuel system has attained the criteria limit for a manufacturer-defined time interval sufficient to determine a malfunction. If the malfunction criteria limit and time interval are exceeded, the MIL shall be illuminated and a fault code stored no later than the end of the next driving cycle in which the criteria and interval are again exceeded, unless driving conditions similar to those under which the problem was originally detected have been encountered (see section (7.4.3)) without such an exceedance, in which case the initial temporary code and stored conditions may be erased. Furthermore, if similar driving conditions are not encountered during 80 driving cycles ~~trips~~ subsequent to the initial detection of a malfunction, the initial temporary code and stored conditions may be erased.
- (7.4.2) For fuel systems with long-term fuel trim capability, upon attaining a long-term based malfunction criteria limit independent of, or in combination with, the short-term trim system status, the MIL shall be illuminated and a fault code stored no later than the end of the next ~~trip~~ driving cycle if the malfunction is again detected. If the malfunction is not detected during the second driving cycle, the MIL shall be illuminated and a fault code stored no later than the next driving cycle in which the malfunction is again detected, unless driving conditions similar to those under which the problem was originally detected have been encountered (see subsection (7.4.3)) without an indication of a malfunction, in which case the initial temporary code and stored conditions may be erased. Furthermore, if similar driving conditions are not encountered during 80 driving cycles ~~trips~~ subsequent to the initial detection of a malfunction, the initial temporary code and stored conditions may be erased.
- (7.4.3) Upon detection of a fuel system malfunction, manufacturers shall store the engine speed, load and warm-up status (i.e., cold or warmed-up) under which the malfunction was detected. A ~~trip or~~ driving cycle shall be considered to have similar conditions if the stored engine speed is encountered within 375 rpm, load conditions within 10 percent, and the same warm-up status is present. With Executive Officer approval, other strategies for determining if similar conditions

have been encountered may be employed. Approval shall be based on comparable timeliness and reliability in detecting similar conditions.

(8.0) OXYGEN SENSOR MONITORING

(8.1) Requirement:

- (8.1.1) The diagnostic system shall monitor the output voltage, response rate, and any other parameter which can affect emissions, of all primary (fuel control) oxygen (lambda) sensors for malfunction. It shall also monitor all secondary oxygen sensors (fuel trim control or use as a monitoring device) for proper output voltage and/or response rate. Response rate is the time required for the oxygen sensor to switch from lean-to-rich once it is exposed to a richer than stoichiometric exhaust gas or vice versa (measuring oxygen sensor switching frequency may not be an adequate indicator of oxygen sensor response rate, particularly at low speeds).
- (8.1.2) Either the lean-to-rich or both the lean-to-rich and rich- to-lean response rates shall be checked. Response rate checks shall evaluate the portions of the sensor's dynamic signal that are most affected by sensor malfunctions such as aging or poisoning.

Manufacturers may observe the voltage envelope of the sensor when cycled at a frequency of 1.5 Hertz or greater, as determined by the manufacturer, to evaluate a slow response rate sensor (i.e. a slow sensor cannot achieve maximum and/or minimum voltage as will a good sensor given a properly chosen switching frequency and fuel step change for the check). With Executive Officer approval, manufacturers may use other voltage requirements/fuel-air switching frequencies or monitoring strategies based on a determination of accurate and timely evaluation of the sensor.

- (8.1.3) For sensors with different characteristics, the manufacturer shall submit data and an engineering evaluation to the Executive Officer for approval based on showing equivalent evaluation of the sensor.
- (8.1.4) For vehicles equipped with heated oxygen sensors, the heater circuit shall be monitored for proper current and voltage drop (note: a continuity check of oxygen sensors is not required). Other heater circuit monitoring strategies would require approval by the Executive Officer based on equally reliable and timely indication of malfunction as current or voltage-based monitoring.

(8.2) Malfunction Criteria:

- (8.2.1) An oxygen sensor shall be considered malfunctioning when the voltage, response rate, or other criteria are exceeded and causes emissions from a vehicle equipped with the sensor(s) to exceed 1.5 times any of the applicable FTP standards, or when the criteria of sensors for use as a diagnostic system monitoring device (e.g., for catalyst efficiency monitoring) are exceeded.

(8.2.2) For heated oxygen sensors, the heater circuit shall be considered malfunctioning when the current or voltage drop in the circuit is no longer within the manufacturer's specified limits for proper normal operation (i.e., within the criteria required to be met by the component vendor for heater circuit performance at high mileage). Subject to Executive Officer approval, other monitoring strategy malfunction criteria for detection of heater circuit malfunctions may be used provided the manufacturer submits data and/or an engineering evaluation adequately showing monitoring reliability and timeliness to be equivalent to the stated criteria in this paragraph.

(8.3) Monitoring Conditions:

(8.3.1) For primary oxygen sensor(s) used for fuel control, the response rate and output voltage shall be monitored for malfunction before the end of the first idle period after the vehicle has commenced closed-loop operation, if the necessary checking condition for acceptable oxygen sensor(s) performance has been encountered. The performance of the sensor can only be judged acceptable by one or more of the following means: within any 20 second reasonably steady speed condition as defined in (b) (1.3.2), within any deceleration of 3 seconds or more, or during the first idle period of at least 20 seconds after closed loop operation begins (i.e., not during an acceleration condition); not withstanding, unacceptable performance can be determined at any time. Other monitoring conditions may be used provided the manufacturer provides a monitoring strategy and supporting data showing equivalent monitoring reliability and timeliness in detecting a malfunctioning sensor compared to the above monitoring conditions and the Executive Officer approves.

(8.3.2) For secondary oxygen sensors used for catalyst monitoring and/or fuel system trim, the manufacturer shall define appropriate operating conditions for response rate and/or output voltage malfunction monitoring shall be monitored for malfunction, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. The monitoring system shall operate at least once per driving cycle during which the manufacturer-defined monitoring conditions are met per trip.

(8.3.3) For heated oxygen sensors, the manufacturer shall define appropriate operating conditions for malfunction monitoring of the heater circuit shall be monitored for malfunction, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. The monitoring system shall operate at least once per driving cycle during which the manufacturer-defined monitoring conditions are met per trip.

(8.4) MIL Illumination and Fault Code Storage: Upon detection of any oxygen sensor malfunction, the diagnostic system shall store a fault code and the MIL shall illuminate no later than the end of the next trip if the malfunction is again present driving cycle during which monitoring occurs provided the malfunction is again present.

(8.5) Other (non-lambda) Oxygen Sensors:

(8.5.1) For vehicles equipped with universal exhaust gas oxygen sensors (i.e. sensors which provide an output proportional to exhaust gas oxygen concentration), the manufacturer shall define appropriate operating conditions for the diagnostic system shall provide to perform a response rate check (the time required to respond to a specific change in fuel/air ratio), subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. The monitoring system shall operate at least once per driving cycle during which the manufacturer-defined monitoring conditions are met, per trip and an The diagnostic system shall also perform an out-of-range check for which monitoring shall be continuous. For malfunctions, MIL illumination and fault code storage shall be as in (8.4).

(8.5.2) If a manufacturer utilizes other types of oxygen sensors, the manufacturer shall submit a monitoring plan to the Executive Officer for approval based on equivalent monitoring with conventional sensors.

(9.0) EXHAUST GAS RECIRCULATION (EGR) SYSTEM MONITORING

(9.1) Requirement:

(9.1.1) The diagnostic system shall monitor the EGR system on vehicles so-equipped for low and high flow rate malfunctions.

(9.1.2) Manufacturers may request Executive Officer approval to temporarily disable the EGR system check under specific conditions if it can be provided the manufacturer submits data and/or an engineering evaluation which adequately demonstrated that a reliable check cannot be made when these conditions exist.

(9.2) Malfunction Criteria: The EGR system shall be considered malfunctioning when one or both of the following occurs: (1) any component of the system fails to perform within manufacturer specifications, or (2) the EGR flow rate exceeds the manufacturer's specified low or high flow limits such that a vehicle would exceed 1.5 times any of the applicable FTP emission standards.

(9.3) Monitoring Conditions: ~~The diagnostic system~~ Manufacturers shall define appropriate operating conditions for monitoring the EGR system, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test ~~monitor the EGR system at least once per trip.~~ The monitoring system shall operate at least once per driving cycle during which the manufacturer-defined monitoring conditions are met.

(9.4) MIL Illumination and Fault Code Storage: The diagnostic system shall store a fault code and the MIL shall illuminate no later than the end of the next driving cycle during which monitoring occurs provided trip if the malfunction is again present.

(10.0) COMPREHENSIVE COMPONENT MONITORING

(10.1) Requirement: The diagnostic system shall monitor for malfunction any electronic powertrain component/system not otherwise described above which either provides input to (directly or indirectly), or receives commands from the on-board computer, and which: (1) can affect emissions during any reasonable in-use driving condition, or (2) is used as part of the diagnostic strategy for any other monitored system or component.

(10.1.1) Input Components:

~~(A)~~ ~~The diagnostic system shall monitor for malfunction any electronic powertrain component/system which can affect emissions not otherwise described above and which provides input directly or indirectly to the on-board computer.~~

~~(B)~~(A) The monitoring system shall have the capability of detecting, at a minimum, lack of circuit continuity and out of range values to ensure proper operation of the input device. The determination of out of range values shall include logic evaluation of available information to determine if a component is operating within its normal range (e.g., a low throttle position sensor voltage would not be reasonable at a high engine speed with a high mass airflow sensor reading). ~~(e.g., indicating a malfunction in the case of high fuel tank pressure when the coolant temperature is low; an accelerometer output indicating continuous rough road conditions, etc.)~~.

~~(C)~~(B) Input components may include, but are not limited to, the vehicle speed sensor, crank angle sensor, knock sensor, throttle position sensor, coolant temperature sensor, cam position sensor, fuel composition sensor (e.g. methanol flexible fuel vehicles), transmission electronic components such as sensors, modules, and solenoids which provide signals to the powertrain control system (see section (b) (10.5)).

~~(D)~~(C) The coolant temperature sensor shall be monitored for achieving a stabilized minimum temperature level which is needed to achieve closed-loop operation (or for diesel applications, the minimum temperature needed for warmed-up fuel control to begin) within a manufacturer-specified time interval after starting the engine. The time interval shall be a function of starting engine coolant temperature and/or a function of intake air temperature. Manufacturers shall provide data to support specified times. The Executive Officer shall allow disablement of this check under extremely low ambient temperature conditions provided a manufacturer submits data and/or an engineering evaluation which adequately demonstrating non-attainment of a stabilized minimum temperature.

(10.1.2) Output Components:

(A) The diagnostic system shall monitor output components for proper functional response to computer commands. ~~for proper functional response to each computer command, any powertrain output component/system receiving commands from the~~

~~computer either directly or indirectly which can affect emissions and which is not otherwise monitored as a component/system in the above monitoring requirements.~~

- (B) Components for which functional monitoring is not feasible shall be monitored, at a minimum, for proper circuit continuity and out of range values, if applicable.
- (C) Output components may include, but are not limited to, the automatic idle speed motor, emission-related electronic only transmission controls, heated fuel preparation systems, the wait-to-start lamp on diesel applications, and a warmup catalyst bypass valve (see section (b) (10.5)).

(10.2) Malfunction Criteria:

(10.2.1) Input Components: Input components/systems shall be considered malfunctioning when, at a minimum, lack of circuit continuity or manufacturer-specified out-of-range values occur. ~~Additionally, the coolant temperature sensor shall be considered malfunctioning if it does not achieve a stabilized minimum temperature necessary for closed loop operation within a manufacturer-specified time interval after starting the engine.~~

(10.2.2) Output Components: Output components/systems shall be considered malfunctioning when a proper functional response to each computer commands does not occur. Should a functional check for malfunction not be feasible, then an output component/system shall be considered malfunctioning when, at a minimum, lack of circuit continuity or manufacturer-specified out-of-range values occur.

(10.3) Monitoring Conditions: ~~Components/systems in this subsection shall be monitored continuously.~~

(10.3.1) Input Components: Input components shall be monitored continuously for proper range of values and circuit continuity. For rationality monitoring (where applicable), manufacturers shall define appropriate operating conditions during which monitoring shall occur, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. Rationality monitoring shall occur at least once per driving cycle during which the manufacturer-defined monitoring conditions are met.

(10.3.2) Output Components: Monitoring for circuit continuity and proper range of values (if applicable) shall be conducted continuously. For functional monitoring, manufacturers shall define appropriate operating conditions during which monitoring shall occur, subject to the limitation that the monitoring conditions shall be encountered at least once during the first engine start portion of the applicable FTP test. However, functional monitoring may be conducted during non-FTP driving conditions, subject to Executive Officer approval, if the manufacturer provides data and/or an engineering evaluation which adequately demonstrate that the component does not normally function, or monitoring is

otherwise not feasible, during applicable FTP test driving conditions. Functional monitoring shall occur at least once per driving cycle during which the manufacturer-defined monitoring conditions are met.

(10.4) MIL Illumination and Fault Code Storage:

(10.4.1) Upon detecting a malfunction, the diagnostic system shall store a fault code ~~and the MIL shall illuminate~~ no later than the end of the next driving cycle during which monitoring occurs provided ~~trip~~ if the malfunction is again detected.

(10.4.2) In conjunction with storing a fault code, manufacturers shall illuminate the MIL for malfunctions of components/systems for which either of the following occurs:
1) When malfunctioning, the component or system could cause vehicle emissions to increase by 15 percent or more of the FTP standard, or 2) The component/system is used as part of the diagnostic strategy for any other monitored system or component.

(10.5) Component Determination: The manufacturer shall determine whether a powertrain input or output component not otherwise covered can affect emissions. If the Executive Officer reasonably believes that a manufacturer has incorrectly determined that a component cannot affect emissions, the Executive Officer shall require the manufacturer to provide emission data showing that such a component, when faulty and installed in a suitable test vehicle, does not have an emission effect. Emission data may be requested for any reasonable driving condition.

(c) ADDITIONAL MIL ILLUMINATION AND FAULT CODE STORAGE PROTOCOL

(1.0) MIL ILLUMINATION For all emission-related components/systems, upon final determination of malfunction, the MIL shall remain continuously illuminated (except that it shall blink as indicated previously for misfire detection). If any malfunctions are identified in addition to misfire, the misfire condition shall take precedence, and the MIL shall blink accordingly. The diagnostic system shall store a fault code for MIL illumination whenever the MIL is illuminated. The diagnostic system shall illuminate the MIL and shall store a code whenever the engine control powertrain enters a default or "limp home" mode of operation. The diagnostic system shall illuminate the MIL and shall store a code whenever the engine control system fails to enter closed-loop operation (if employed) within a manufacturer specified minimum time interval.

(2.0) EXTINGUISHING THE MIL

(2.1) Misfire and Fuel System Malfunctions: For misfire or fuel system malfunctions, the MIL may be extinguished if the fault does not recur when monitored during three subsequent sequential driving cycles in which conditions are similar to those under which the malfunction was first determined (see sections (b) (3.4.3) and (b)(7.4.3)).

(2.2) All Other Malfunctions: Except as noted in section (b) (6.4), for all other faults, the MIL may be extinguished after three subsequent sequential driving cycles during which the monitoring system responsible for illuminating the MIL functions without detecting the

~~malfunction trips in which the malfunction has not recurred~~ and if no other malfunction has been identified that would independently illuminate the MIL according to the requirements outlined above.

- (3.0) ERASING A FAULT CODE The diagnostic system may erase a fault code if the same fault is not re-registered in at least 40 engine warm-up cycles, and the MIL is not illuminated for that fault code.
- (d) TAMPERING PROTECTION Computer-coded engine operating parameters shall not be changeable without the use of specialized tools and procedures (e.g. soldered or potted computer components or sealed (or soldered) computer enclosures). Subject to Executive Officer approval, manufacturers may exempt from this requirement those product lines which are unlikely to require protection. Criteria to be evaluated in making an exemption include, but are not limited to, current availability of performance chips, high performance capability of the vehicle, and sales volume. Manufacturers using reprogrammable computer code systems (e.g., EEPROM) shall employ proven methods to deter unauthorized reprogramming which may include copyrightable executable routines or other methods. Beginning with the 1999 model year, manufacturers shall include enhanced tamper protection strategies including data encryption using methods to secure the encryption algorithm, and write protect features requiring electronic access to an off-site computer maintained by the manufacturer. Equivalent methods shall also be considered by the Executive Officer. ~~Any reprogrammable computer code system (e.g. EEPROM) shall include proven write protect features which may include copyrightable executable routines or other methods.~~
- (e) READINESS/FUNCTION CODE The on-board computer shall store a code upon first completing ~~If a full diagnostic check (i.e., the minimum number of checks necessary for MIL illumination) of all monitored components and systems (except as noted below) has not been completed since the computer memory was last cleared (e.g., through the use of a scan tool or battery disconnect), the manufacturer shall store a code indicating the need for additional mixed city and highway driving to complete the check.~~ The code shall be stored in the format specified by SAE J1979 or SAE J1939, whichever applies. Both documents are incorporated by reference in sections (k)(2.0) and (k)(5.0). The diagnostic system check for comprehensive component monitoring and continuous monitoring of misfire and fuel system faults shall be considered complete for purposes of determining the readiness indication if malfunctions are not detected in these areas by the time all other diagnostic system checks are complete. If monitoring is temporarily disabled under conditions which may lead to false codes for any system, that check shall not be considered in determining diagnostic system readiness. For evaporative system monitoring, the readiness indication shall be set when a full diagnostic check has been completed with respect to the 0.040 inch orifice malfunction criteria if the monitoring conditions are constrained with respect to detection a 0.020 inch leak (see sections (b)(4.2.2) and (4.3). ~~The diagnostic system shall also include a code or acknowledge message indicating that the diagnostic system itself is functioning properly.~~

(f) **STORED ENGINE CONDITIONS** Upon detection of the first malfunction of any component or system, "freeze frame" engine conditions present at the time shall be stored in computer memory. Should a subsequent fuel system or misfire malfunction occur, any previously stored freeze frame conditions shall be replaced by the fuel system or misfire conditions (whichever occurs first). Stored engine conditions shall include, but are not limited to, calculated load value, engine RPM, fuel trim value(s) (if available), fuel pressure (if available), vehicle speed (if available), coolant temperature, intake manifold pressure (if available), closed- or open-loop operation (if available), and the fault code which caused the data to be stored. The manufacturer shall choose the most appropriate set of conditions facilitating effective repairs for freeze frame storage. Only one frame of data is required. Manufacturers may at their discretion choose to store additional frames provided that at least the required frame can be read by a generic scan tool meeting SAE specifications established in SAE Recommended Practices on "OBD II Scan Tool" (J1978), ~~March 1992~~ June, 1994, and "E/E Diagnostic Test Modes" (J1979), ~~December 1994~~ June, 1994, which are incorporated by reference herein. If approval is granted to use the SAE J1939 communication protocol according to section (k)(5.0), the data shall be accessible using a scan tool meeting the J1939 specifications. If the fault code causing the conditions to be stored is erased in accordance with section (c) (3.0), the stored engine conditions may be cleared as well.

(g) **DURABILITY DEMONSTRATION VEHICLE MONITORING SYSTEM DEMONSTRATION REQUIREMENTS**

(1.0) **REQUIREMENT** Each year a manufacturer shall provide emission test data obtained from a certification durability vehicle for one engine family certification durability vehicle that has not been used previously for purposes of this section. If a manufacturer does not have a certification durability vehicle available which is suitable for *this demonstration* the engine family designated for testing. ~~The Executive Officer shall permit a manufacturer to satisfy this requirement with waive this requirement if a manufacturer does not have a certification durability vehicle available which is suitable for this demonstration in a given year, provided a manufacturer submits other data from a representative high mileage vehicle or vehicles (or a representative high operating-hour engine or engines) acceptable to the Executive Officer to demonstrate that malfunction criteria are based on emission performance. The Air Resources Board (ARB) shall determine the demonstration vehicle engine family to be demonstrated.~~ Each manufacturer shall notify the Executive Officer prior to ~~running a California durability vehicle in order to allow possible selection as the demonstration vehicle for a given model year unless a vehicle has previously been chosen for the given model year applying for certification of the engine families planned for a particular model year in order to allow selection of the engine family to be demonstrated.~~ Demonstration tests shall be conducted on the certification durability vehicle or engine at the end of the required mileage or operating-hour accumulation. For non-LEVs, until a NOx standard applicable for more than 50,000 miles is established in California, the federal 50,000 to 100,000 mile NOx standard shall be used for demonstration purposes.

(1.1) Flexible fuel vehicles shall perform each demonstration test using 85 percent methanol and 15 percent gasoline, and gasoline only. For vehicles capable of operating on other fuel combinations, the manufacturer shall submit a plan for performing demonstration

testing for approval by the Executive Officer on the basis of providing accurate and timely evaluation of the monitored systems.

- (2.0) **APPLICABILITY:** The manufacturer shall perform single-fault testing based on the applicable FTP test cycle with the following components/systems at their malfunction criteria limits as determined by the manufacturer:
- (2.1) **Oxygen Sensors.** The manufacturer shall conduct the following demonstration tests: The first test involves testing all primary and secondary (if equipped) oxygen sensors used for fuel control simultaneously possessing normal output voltage but response rate deteriorated to the malfunction criteria limit (secondary oxygen sensors for which response rate is not monitored shall be with normal response characteristics). The second test shall include testing with all primary and secondary (if equipped) oxygen sensors used for fuel control simultaneously possessing output voltage at the malfunction criteria limit. Manufacturers shall also conduct a malfunction criteria demonstration test for any other-oxygen sensor parameter that can cause vehicle emissions to exceed 1.5 times the applicable standards (e.g., shift in air/fuel ratio at which oxygen sensor switches). When performing additional test(s), all primary and secondary (if equipped) oxygen sensors used for fuel control shall be operating at the malfunction criteria limit for the applicable parameter only. All other primary and secondary oxygen sensor parameters shall be with normal characteristics. The Executive Officer may approve other demonstration protocols if the manufacturer can adequately show comparable assurance that the malfunction criteria are chosen based on meeting emission requirements.
- (2.2) **EGR System:** The manufacturer shall conduct only one flow rate demonstration test at the low flow limit.
- (2.3) **Fuel Metering System:**
- (2.3.1) For vehicles with short-term or long-term fuel trim circuitry, the manufacturer shall conduct one demonstration test at the border of the rich limit and one demonstration test at the border of the lean limit established by the manufacturer for emission compliance.
- (2.3.2) For other systems, the manufacturer shall conduct a demonstration test at the criteria limit(s).
- (2.3.3) For purposes of the demonstration, the fault(s) induced may result in a uniform distribution of fuel and air among the cylinders. Non-uniform distribution of fuel and air used to induce a fault shall not cause an indication of misfire. The manufacturer shall describe the fault(s) induced in the fuel system causing it to operate at the criteria limit(s) for the demonstration test (e.g., restricted or increased flow fuel injectors, an altered output signal airflow meter, etc.). Computer modifications to cause the fuel system to operate at the adaptive limit for malfunction shall not be allowed for the demonstration tests.

- (2.4) Misfire: The manufacturer shall conduct one FTP demonstration test at the criteria limit specified in (b)(3.2)(B) for malfunction and a second demonstration test showing that the vehicle is capable of passing a California Inspection/Maintenance test when operating at the misfire criteria limit. This demonstration is not required for diesel applications.
- (2.5) Secondary Air System: The manufacturer shall conduct a flow rate demonstration test at the low flow limit, unless only a functional check is permitted according to section (b)(5.2.2).
- (2.6) Catalyst Efficiency:
- (2.6.1) Non-Low Emission Vehicles: The manufacturer shall conduct a baseline FTP test with a representative 4000 mile catalyst system followed by one FTP demonstration test using a catalyst system deteriorated to its malfunction limit. If a manufacturer is employing a steady state catalyst efficiency check in accordance with section (b) (1.2.4), demonstration of the catalyst monitoring system is not required.
- (2.6.2) Low Emission Vehicles: The manufacturer shall conduct a catalyst efficiency demonstration using a catalyst system deteriorated to within the malfunction criteria. ~~If two substrates are integrated into the same container, only the upstream substrate shall be deteriorated for the demonstration.~~
- (2.7) Heated Catalyst Systems: ~~For heated catalyst systems that use an after start heating strategy,~~ The manufacturer shall conduct a demonstration test where the designated heating temperature is reached at the time limit for malfunction after engine starting.
- (2.8) Manufacturers may electronically simulate deteriorated components, but may not make any vehicle control unit modifications when performing demonstration tests. All equipment necessary to duplicate the demonstration test must be made available to the ARB upon request.
- (3.0) PRECONDITIONING The manufacturer shall use the first engine start portion of one applicable FTP cycle for preconditioning before each of the above emission tests. If a manufacturer ~~can~~ provides data and/or an engineering evaluation which adequately demonstrate that additional preconditioning is necessary to stabilize the emission control system, the Executive Officer shall allow an additional identical preconditioning cycle, or a Federal Highway Fuel Economy Driving Cycle, following a ten-minute (or 20 minutes for medium duty engines certified on an engine dynamometer) hot soak after the initial preconditioning cycle. The manufacturer shall not require the demonstration vehicle to be cold soaked prior to conducting preconditioning cycles in order for the monitoring system demonstration to be successful. A cold start shall not be required prior to conducting preconditioning cycles.

(4.0) EVALUATION PROTOCOL

- (4.1) ~~With the exception of short term trim only vehicles, the manufacturer shall set the system or component for which detection is to be demonstrated at the criteria limit(s) from the beginning of and throughout the prior to conducting the applicable preconditioning cycle(s) and FTP test. (For misfire demonstration, misfire shall be set at its criteria limit as specified pursuant to section (b)(3.2)(B)). If a second preconditioning cycle is permitted in accordance with section (3.0) above, the manufacturer may adjust the demonstrated system or component before conducting the second preconditioning cycle; however, the demonstrated system or component shall not be replaced, modified or adjusted after preconditioning has taken place.~~
- (4.2) ~~For short term trim only vehicles, the fuel system shall operate at the criteria limit from the beginning of closed loop operation for the manufacturer defined time interval for determining malfunction (and normally otherwise) for both the applicable preconditioning and FTP test cycles. After preconditioning, the vehicle shall be operated over the first engine start portion of the applicable FTP test to allow for the initial detection of the malfunction. This driving cycle may be omitted from the evaluation protocol if it is unnecessary. If required by the demonstrated monitoring strategy, a cold soak may be performed prior to conducting this driving cycle.~~
- (4.3) ~~For misfire demonstration, misfire shall be set at its criteria limit as specified pursuant to section (b) (3.2) (B) throughout the applicable preconditioning cycle and FTP test. The vehicle shall then be operated over a full applicable FTP test.~~
- (4.4) For all demonstrations, the MIL shall be illuminated before the hot start portion of the full FTP test in accordance with requirements of subsection (b):
- (4.4.1) If the MIL does not illuminate when the systems or components are set at their limit(s), the criteria limit or the OBD system is not acceptable.
 - (4.4.2) Except for catalyst efficiency demonstration, if the MIL illuminates and emissions do not exceed 1.5 times any of the applicable FTP emission standards, no further demonstration shall be required.
 - (4.4.3) Except for catalyst efficiency demonstration, if the MIL illuminates and emissions exceed 1.5 times any of the applicable FTP emission standards, the vehicle shall be retested with the component's malfunction criteria limit value reset such that vehicle emissions are reduced by no more than 30 percent. Limit value at a minimum includes, in the case of oxygen sensors, response rate and voltage; for EGR systems, EGR flow rate; for secondary air systems, air flow rate; for short-term fuel trim- only systems, time interval at the fuel system range of authority limit; for long-term fuel trim systems, shift in the base fuel calibration; for heated catalyst systems, the time limit between engine starting and attaining the designated heating temperature (if an after-start heating strategy is used); and for misfire, percent misfire. For the OBD system to be approved, the vehicle must then meet the above emission levels when tested with the faulty

components. The MIL shall not illuminate during this demonstration.

(4.4.4) For Non-LEV catalyst efficiency demonstration, if HC emissions do not increase by more than 1.5 times the standard from the baseline FTP test and the MIL is illuminated, no further demonstration shall be required. However, if HC emissions increase by more than 1.5 times the standard from the baseline FTP test and the MIL is illuminated, the vehicle shall be retested with the average FTP HC conversion capability of the catalyst system increased by no more than 10 percent (i.e., 10 percent more engine out hydrocarbons are converted). For the OBD system to be approved, the vehicle must then meet the above emission levels when re-tested. The MIL shall not illuminate during this demonstration.

(4.4.5) For Low Emission Vehicle catalyst efficiency demonstration, if HC emissions do not exceed the applicable emission threshold specified in section (b)(1.2.2) and the MIL is illuminated, no further demonstration shall be required. However, if HC emissions exceed the threshold and the MIL is illuminated, the vehicle shall be retested with average FTP HC conversion capability of the catalyst system increased by no more than 5 percent (i.e., 5 percent more engine out hydrocarbons are converted). For the OBD II system to be approved, the vehicle must then meet the above emission levels when re-tested. The MIL shall not illuminate during this demonstration. ~~if catalyst efficiency is within the malfunction criteria range over the FTP test and the MIL is illuminated, no further demonstration is required. If catalyst efficiency falls outside of the malfunction criteria range, the catalyst's efficiency shall be adjusted, or the catalyst shall be replaced with another deteriorated catalyst, and the system re-tested. If catalyst efficiency is within the malfunction criteria range over the FTP test and the MIL is not illuminated, the catalyst may be deteriorated further but not below the lower limit of the malfunction criteria range, and the system retested. If the catalyst's efficiency is below the lower limit of the malfunction criteria range and the MIL is not illuminated, the OBD system is not acceptable.~~

(4.5) If an OBD system is determined unacceptable by the above criteria, the manufacturer may re-calibrate and re-test the system on the same DDV vehicle. Any affected monitoring systems demonstrated prior to the re-calibration shall be re-verified.

(h) **CERTIFICATION DOCUMENTATION:** The manufacturer shall submit the following documentation for each engine family at the time of certification. With Executive Officer approval, one or more of the documentation requirements specified in this section may be waived or altered if the information required would be redundant or unnecessarily burdensome to generate:

(1) A written description of the functional operation of the diagnostic system to be included in Section 8 of manufacturers' certification applications.

- (2) A table providing the following information for each monitored component or system (either computer-sensed or -controlled) of the emission control system:
- i. corresponding fault code
 - ii. monitoring method or procedure for malfunction detection
 - iii. primary malfunction detection parameter and its type of output signal
 - iv. fault criteria limits used to evaluate output signal of primary parameter
 - v. other monitored secondary parameters and conditions (in engineering units) necessary for malfunction detection
 - vi. monitoring time length and frequency of checks
 - vii. criteria for storing fault code
 - viii. criteria for illuminating malfunction indicator light
 - ix. criteria used for determining out of range values and input component rationality checks
- (3) A logic flowchart describing the general method of detecting malfunctions for each monitored emission-related component or system. To the extent possible, abbreviations in Society of Automotive Engineers' (SAE) J1930 "Electrical/Electronic Systems Diagnostic Terms, Definitions, Abbreviations, and Acronyms", ~~September, 1994~~ June, 1993, shall be used. J1930 is incorporated by reference herein. The information required in the chart under (2) above may instead be included in this flow chart, provided all of the information required in (2) is included.
- (4) A listing and block diagram of the input parameters used to calculate or determine calculated load values and the input parameters used to calculate or determine fuel trim values.
- (5) A scale drawing of the MIL and the fuel cap indicator light, if present, which specifies location in the instrument panel, wording, color, and intensity.
- (6) Emission test data specified in subsection (g).
- (7) Data supporting the selected degree of misfire which can be tolerated without damaging the catalyst.
- (8) Data supporting the limit for the time between engine starting and attaining the designated heating temperature for after-start heated catalyst systems.
- (9) For Low Emission Vehicles, data supporting the criteria used ~~by the diagnostic system for establishing a 50 to 60 percent catalyst total HC efficiency level, or a 40 to 50 percent deterioration level to indicate a malfunction when catalyst deterioration causes emissions to exceed the applicable threshold specified in section (b)(1.2.2).~~
- (10) For Non-Low Emission Vehicles, data supporting the criteria used to indicate a malfunction when catalyst deterioration leads to a 1.5 times the standard increase in HC emissions. If a steady state catalyst efficiency check is employed in accordance with section (b)(1.2.4), data supporting the criteria used by the diagnostic system for establishing a 60 to 80 percent catalyst efficiency level shall be provided instead.

- (11) Data supporting the criteria used to detect evaporative purge system leaks.
 - (12) A description of the modified or deteriorated components used for the fault simulation ~~to drive the fuel system to the criteria limit(s) for demonstrating fuel system compliance with the requirements of~~ with respect to the demonstration tests specified in subsection (g).
 - (13) A listing of all electronic powertrain input and output signals.
 - (134) Any other information determined by the Executive Officer to be necessary to demonstrate compliance with the requirements of this section.
- (i) IN-USE RECALL TESTING PROTOCOL The manufacturer shall adhere to the following procedures for vehicles subject to in-use recall testing required by the ARB:
- (1) If the MIL illuminates during a test cycle or during a preconditioning cycle, the fault causing the illumination may be identified and repaired following published procedures readily available to the public including the independent service sector.
 - (2) The test may be rerun, and the results from the repaired vehicle may be used for emission reporting purposes.
 - (3) If a vehicle contains a part which is operating outside of design specifications with no MIL illumination, the part shall not be replaced prior to emission testing unless it is determined that the part has been tampered with or abused in such a way that the diagnostic system cannot reasonably be expected to detect the resulting malfunction.
 - (4) Failure of a vehicle, or vehicles on average, to meet applicable emission standards with no illumination of the MIL shall not by itself be grounds for requiring the OBD system to be recalled for recalibration or repair since the OBD system cannot predict precisely when vehicles exceed emission standards.
 - (5) A decision to recall the OBD system for recalibration or repair will depend on factors including, but not limited to, level of emissions above applicable standards, presence of identifiable faulty or deteriorated components which affect emissions with no MIL illumination, and systematic erroneous activation of the MIL. With respect to erroneous activation of the MIL, the manufacturer may request Executive Officer approval to take action apart from a formal recall (e.g., extended warranty or a service campaign) to correct the performance of the diagnostic strategy on in-use vehicles. In considering a manufacturer's request, the Executive Officer shall consider the estimated frequency of false MIL activation in-use, and the expected effectiveness in relation to a formal recall of the manufacturer's proposed corrective action in capturing vehicles in the field. For 1994 and 1995 model years-only, on-board diagnostic system recall shall not be considered for excessive emissions without MIL illumination (if required) and fault code storage until emissions exceed 2.0 times any of the applicable standards in those instances where the malfunction criterion is based on exceeding 1.5 times any of the applicable standards. This higher emission threshold

for recall shall extend up to the 1998 model year for TLEV applications (except for catalyst monitoring, for which the threshold shall extend to the 2000 model year), and to the 2000 model year for all applicable monitoring requirements on LEV and ULEV applications.

(6) Regarding catalyst system monitoring, unmonitored catalysts shall be normally aged.

- (j) **CONFIRMATORY TESTING** The ARB may perform confirmatory testing of manufacturers' diagnostic systems for compliance with requirements of this section in accordance with malfunction criteria submitted in the manufacturer's approved certification documentation. The ARB or its designee may install appropriately deteriorated or malfunctioning components in an otherwise properly functioning test vehicle of an engine family represented by the demonstration test vehicle(s) (or simulate a deteriorated or malfunctioning component response) in order to test the fuel system, misfire detection system, oxygen sensor, secondary air system, catalyst efficiency monitoring system, heated catalyst system, and EGR system malfunction criteria for compliance with the applicable emission constraints in this section. Confirmatory testing to verify that malfunction criteria are set for compliance with emission requirements of this section shall be limited to vehicles in engine families derived from the demonstration vehicle(s). Diagnostic systems of a representative sample of vehicles which uniformly fail to meet the requirements of this section may be recalled for correction.
- (k) **STANDARDIZATION** Standardized access to emission-related fault codes, emission-related powertrain test information (i.e., parameter values) as outlined in subsection (l), emission related diagnostic procedures, and stored freeze frame data shall be incorporated based on the industry specifications referenced in this regulation.
- (1.0) Either SAE Recommended Practice J1850, "Class B Data Communication Network Interface", ~~August, 1991~~ May, 1994, or ISO 9141-2 ~~CARB, "Road vehicles - Diagnostic Systems - CARB Requirements for Interchange of Digital Information," February, 1994~~, which are incorporated by reference, shall be used as the on-board to off-board network communications protocol. All SAE J1979 emission related messages sent to the J1978 scan tool over a J1850 data link shall use the Cyclic Redundancy Check and the three byte header, and shall not use inter-byte separation or checksums.
- (2.0) J1978 & J1979 Standardization of the message content (including test modes and test messages) as well as standardization of the downloading protocol for fault codes, parameter values and their units, and freeze frame data are set forth in SAE Recommended Practices on "OBD II Scan Tool" (J1978), ~~March, 1992~~ June, 1994, and "E/E Diagnostic Test Modes" (J1979), ~~December, 1994~~ June 1994, which have been incorporated by reference. Fault codes, parameter values, and freeze frame data shall be capable of being downloaded to a generic scan tool meeting these SAE specifications.
- (2.1) Manufacturers shall make readily available at a fair and reasonable price to the automotive repair industry vehicle repair procedures which allow effective emission related diagnosis and repairs to be performed using only the J1978 generic scan tool

and commonly available, non-microprocessor based tools. In addition to these procedures, manufacturers may publish repair procedures referencing the use of manufacturer specific or enhanced equipment.

- (2.2) The J1978 scan tool shall be capable of notifying the user when one or more of the required monitoring systems are not included as part of the OBD system.
- (3.0) J2012 Part C Uniform fault codes based on SAE specifications shall be employed. SAE "Recommended Format and Messages for Diagnostic Trouble Codes" (J2012), ~~March~~ January, 1992 1994, is incorporated by reference.
- (4.0) J1962 A standard data link connector in a standard location in each vehicle based on SAE specifications shall be incorporated. The location of the connector shall be easily identified by a technician entering the vehicle from the driver's side. Any pins in the standard connector that provide any electrical power shall be properly fused to protect the integrity and usefulness of the diagnostic connector for diagnostic purposes. The SAE Recommended Practice "Diagnostic Connector" (J1962), June, 1992, is incorporated by reference.
- (5.0) With Executive Officer approval, medium-duty vehicles may alternatively employ the communication protocols established in SAE Recommended Practice J1939 to satisfy the standardization requirements specified in sections (k)(1) through (k)(4) above. The Executive Officer's decision shall be based on the effectiveness of the SAE J1939 protocol in satisfying the diagnostic information requirements of Section 1968.1 in comparison with the above referenced documents.

(l) SIGNAL ACCESS

- (1.0) The following signals in addition to the required freeze frame information shall be made available on demand through the serial port on the standardized data link connector: calculated load value, diagnostic trouble codes, engine coolant temperature, fuel control system status (open loop, closed loop, other; if equipped with closed loop fuel control), fuel trim (if equipped), fuel pressure (if available), ignition timing advance (if equipped), intake air temperature (if equipped), manifold air pressure (if equipped), air flow rate from mass air flow meter (if equipped), engine RPM, throttle position sensor output value (if equipped), secondary air status (upstream, downstream, or atmosphere; if equipped), and vehicle speed (if equipped). The signals shall be provided in standard units based on the SAE specifications incorporated by reference in this regulation, and actual signals shall be clearly identified separately from default value or limp home signals.
- (2.0) The manufacturer shall publish in factory service manuals a normal range for the calculated load value and mass air flow rate (if available) at idle, and at 2500 RPM (no load, in neutral or park). If 2500 RPM is outside of the operating range of the engine, the corresponding data may be omitted. If the total fuel command trim is made up by more than one source (e.g. short-term trim and long-term trim), all fuel trim signals shall be available. The signals shall be provided in standard units based on the incorporated SAE specifications, and actual signals shall be clearly identified

separately from default value or limp home signals. Diesel vehicles shall be exempt from this requirement.

- (3.0) Oxygen sensor data that will allow diagnosis of malfunctioning oxygen sensors shall be provided through serial data port on the standardized data link. In addition, beginning with the 1996 model year (with full compliance required by the 1997 model year), for all monitored components and systems, except misfire detection, fuel system monitoring, and comprehensive component monitoring, results of the most recent test performed by the vehicle, and the limits to which the system is compared (except for continuously monitored systems/components) shall be available through the serial data port on the standardized data link connector. For the monitored components and systems excepted above, a pass/fail indication for the most recent test results shall be available through the data link. Such data shall be transmitted in accordance with SAE J1979 (or SAE J1939, whichever applies). Alternative methods shall be approved by the Executive Officer if, in the judgment of the Executive Officer, they provide for equivalent off-board evaluation.

(m) IMPLEMENTATION SCHEDULE

- (1.0) These OBD II requirements, except evaporative purge system monitoring (see section (b) (4.1.5)) unless otherwise specified, shall be implemented beginning with the 1994 model year.
- (2.0) The Executive Officer shall grant an extension for compliance with the requirements of these subsections with respect to a specific vehicle model or engine family if the vehicle model or engine family meets previously applicable on-board diagnostic system requirements and a manufacturer demonstrates that it cannot modify a present electronic control system by the 1994 model-year because major design system changes not consistent with the manufacturer's projected changeover schedule would be needed to comply with provisions of these subsections.
- (2.1) The manufacturer which has received an extension from the Executive Officer shall comply with these regulations when modification of the electronic system occurs in accordance with the manufacturer's projected changeover schedule or in the 1996 model year, whichever first occurs.
- (2.2) Any manufacturer requesting an extension shall, no later than October 15, 1991, submit to the Executive Officer an application specifying the period for which the extension is required.
- (3.0) Small volume manufacturers as defined in (n) (13.0) shall meet these requirements by the 1996 model year.
- (4.0) Manufacturers may at their discretion implement a portion of these regulations prior to the required implementation date provided that the system complies with previously applicable on-board diagnostic system requirements.

- (5.0) ~~Vehicles certified to run on alternate fuels, and Diesel~~ vehicles, shall meet these requirements by the 1996 model year. Manufacturers may request a delay in the implementation of these requirements for diesel vehicles until 1997, subject to Executive Officer approval, if it is adequately demonstrated that the delay will allow for the development of significantly more effective monitoring systems.
- (5.1) Vehicles and engines certified to run on alternate fuels shall meet these requirements by the 1996 model year. However, manufacturers may request the Executive Officer to waive specific monitoring requirements for which monitoring may not be reliable with respect to the use of alternate fuels until the 1999 model year.
- (5.2) Medium-duty vehicles with engines certified on an engine dynamometer may comply with these requirements on an engine model year certification basis rather than on a vehicle model basis.
- (6.0) The Executive Officer may waive one or more of the requirements of these subsections with respect to a specific vehicle or engine family for which production commences prior to April 1, 1994, and which is not otherwise exempted from compliance in accordance with sections (2.0) and (2.1) above. In granting a waiver, the Executive Officer shall consider the following factors: the extent to which these requirements are satisfied overall on the vehicle applications in question, the extent to which the resultant diagnostic system design will be more effective than systems developed according to section 1968, Title 13, and a demonstrated good-faith effort to meet these requirements in full by evaluating and considering the best available monitoring technology.
- (6.1) For 1995 and 1996 model year vehicles for which production is to commence subsequent to March 31, 1994, and which are not exempted from compliance in accordance with sections (2.0) and (2.1) above, the Executive Officer, upon receipt of an application from the manufacturer, may certify the vehicles in question even though said vehicles may not comply with one or more of the requirements of these subsections. Such certification is contingent upon the manufacturer meeting the criteria set forth in section (6.0) above. Manufacturers of non-complying systems shall be subject to fines pursuant to section 43016 of the California Health and Safety Code for each deficiency identified, after the second, in a vehicle model. For the third deficiency and every deficiency thereafter identified in a vehicle model, the fines shall be in the amount of \$50 per deficiency per vehicle for non-compliance with any of the monitoring requirements specified in subsections (b)(1) through (b)(9), and \$25 per deficiency per vehicle for non-compliance with any other requirement of section 1968.1. In determining the identified order of deficiencies, deficiencies of subsections (b)(1) through (b)(9) shall be identified first. Total fines per vehicle under this section shall not exceed \$500 per vehicle and shall be payable to the State Treasurer for deposit in the Air Pollution Control Fund. Engine families in receipt of a waiver granted under section (6.0) above shall be exempt from these fines. Further, small volume manufacturers choosing to comply with these requirements in the 1995 model year shall also be exempt from these fines. For 1996 model year vehicles and engines only, failure to properly monitor multiple electronic transmission components shall be considered a single monitoring system deficiency.

(6.2) Beginning with the 1997 model year and through the 2000 model, the certification provisions set forth in section (m)(6.1) above shall continue to apply subject to the following limitations: 1) The specified fines shall apply to the second and subsequently identified deficiencies, with the exception that fines shall apply to all monitoring system deficiencies wherein a required monitoring strategy is completely absent from the OBD system, and 2) Manufacturers may not carry over monitoring system deficiencies to future model years unless it can be demonstrated that vehicle hardware modifications would be necessary to correct the deficiency, in which case the deficiency may be carried over for one model year.

(n) GLOSSARY For purposes of this section:

- (1.0) "Malfunction" means the inability of an emission-related component or system to remain within design specifications. Further, malfunction refers to the deterioration of any of the above components or systems to a degree that would likely cause the emissions of an average certification durability vehicle with the deteriorated components or systems present at the beginning of the applicable certification emission test to exceed by more than 1.5 times any of the emission standards (both with respect to the certification and useful life standards), unless otherwise specified, applicable pursuant to Subchapter 1 (commencing with Section 1900), Chapter 3 of Title 13.
- (2.0) "Secondary air" refers to air introduced into the exhaust system by means of a pump or aspirator valve or other means that is intended to aid in the oxidation of HC and CO contained in the exhaust gas stream.
- (3.0) "Engine misfire" means lack of combustion in the cylinder due to absence of spark, poor fuel metering, poor compression, or any other cause.
- (4.0) Oxygen sensor "response rate" refers to the delay (measured in milliseconds) between a switch of the sensor from lean to rich or vice versa in response to a change in fuel/air ratio above and below stoichiometric.
- (5.0) A "trip" means vehicle operation (following an engine-off period) of duration and driving mode such that all components and systems are monitored at least once by the diagnostic system except catalyst efficiency or evaporative system monitoring when a steady-speed check is used, subject to the limitation that the manufacturer-defined trip monitoring conditions shall all be encountered at least once during the first engine start portion of the applicable FTP cycle.
- (6.0) A "warm-up cycle" means sufficient vehicle operation such that the coolant temperature has risen by at least 40 degrees Fahrenheit from engine starting and reaches a minimum temperature of 160 degrees Fahrenheit.
- (7.0) A "driving cycle" consists of engine startup, ~~vehicle operation beyond the beginning of closed-loop operation,~~ and engine shutoff.

- (8.0) "Continuous monitoring" means sampling at a rate no less than two samples per second. If for engine control purposes, a computer input component is sampled less frequently, the value of the component may instead be evaluated each time sampling occurs.
- (9.0) "Fuel trim" refers to feedback adjustments to the base fuel schedule. Short-term fuel trim refers to dynamic or instantaneous adjustments. Long-term fuel trim refers to much more gradual adjustments to the fuel calibration schedule than short-term trim adjustments. These long term adjustments compensate for vehicle differences and gradual changes that occur over time.
- (10.0) "Base Fuel Schedule" refers to the fuel calibration schedule programmed into the Powertrain Control Module or PROM when manufactured or when updated by some off-board source, prior to any learned on-board correction.
- (11.0) "Calculated load value" refers to an indication of the current airflow divided by peak airflow, where peak airflow is corrected for altitude, if available. This definition provides a unitless number that is not engine specific, and provides the service technician with an indication of the percent engine capacity that is being used (with wide open throttle as 100%).

$$CLV = \frac{\text{Current Airflow}}{\text{Peak airflow (@ sea level)}} \times \frac{\text{Atm Pressure (@ sea level)}}{\text{Barometric pressure}}$$

For diesel applications, the calculated load value shall be determined by the ratio of current output torque to maximum output torque at current engine speed.

- (12.0) "Medium-duty vehicle" is defined in Title 13, Section 1900 (b)(9).
- (13.0) "Small volume manufacturer" shall mean any vehicle manufacturer with sales less than or equal to 3000 new light-duty vehicles and medium-duty vehicles per model year based on the average number of vehicles sold by the manufacturer each model year from 1989 to 1991. For manufacturers certifying for the first time in California, model year sales shall be based on projected California sales.
- (14.0) "Low Emission Vehicle" refers to a vehicle certified in California as a Transitional Low Emission Vehicle (TLEV), a Low Emission Vehicle (LEV), or an Ultra Low Emission Vehicle (ULEV). These vehicle categories are further defined in Title 13, sections 1956.8 and 1960.1.
- (15.0) "Diesel engines", ~~for the purposes of these regulations, includes diesel derived~~ refers to engines and those using a compression ignition thermodynamic cycle.
- (16.0) "Functional check" for an output component means verification of proper response to a computer command. For an input component, functional check means verification of the input signal being in the range of normal operation, including evaluation of the signal's rationality in comparison to all available information.

(17.0) "Federal Test Procedure" (FTP) cycle or test refers to, for passenger vehicles, light-duty trucks, and medium-duty vehicles certified on a chassis dynamometer, the driving schedule in Code of Federal Regulations (CFR) 40, Appendix 1, Part 86, section (a) entitled, "EPA Urban Dynamometer Driving Schedule for Light-Duty Vehicles and Light-Duty Trucks." For medium-duty engines certified on an engine dynamometer, FTP cycle or test refers to the engine dynamometer schedule in CFR 40, Appendix 1, Part 86, section (f)(1), entitled, "EPA Engine Dynamometer Schedule for Heavy-Duty Otto-Cycle Engines," or section (f)(2), entitled, "EPA Engine Dynamometer Schedule for Heavy-Duty Diesel Engines."

(18.0) "Redline engine speed" means the manufacturer recommended maximum engine speed as normally displayed on instrument panel tachometers, or the engine speed at which fuel shutoff occurs.

(19.0) "Power Take-Off unit" refers to an engine driven output provision for the purposes of powering auxiliary equipment (e.g., a dump-truck bed, aerial bucket, or tow-truck winch).

Note: Authority cited: Sections 39600, 39601, 43013 and 43018, Health and Safety Code.
Reference: Sections 39002, 39003, 43013, 43100, 43101, 43102, 43104, 43105 and 43204, Health and Safety Code.