

Mobile Source Certification Fees Off-Road Invoicing Process

Tutorial

Mobile Source Certification Fee Regulation

- Legislative Authority: Senate Bill 854 (2018) and Senate Bill 85 (2019)
- Regulation Adopted April 2021, approved by OAL on Jan 18, 2022.
 - Fees due at the time of application
 - 35 regulatory categories organized into six groups
 - ww2.arb.ca.gov/rulemaking/2021/mobilesourcefee2021
- Effective Date April 1, 2022



MS Fee Invoicing and Payment Process

- Step 1: FI\$Cal account
- Step 2: Invoicing
- **Step 3:** Payment Process
- Step 4: Submission of Payment Documents with Application



MS Fee Invoicing and Payment Process

Step 1: FI\$Cal account

Step 2: Invoicing

Step 3: Payment Process

Step 4: Submission of Payment Documents with Application

For more details, please refer to the Overview Workshop presented on March 8th, 2022

https://ww2.arb.ca.gov/mobile-source-certification-andcompliance-fee-regulation-meetings-workshops



Creating a Draft Invoice Off-Road Category Group



Select the Off-Road Draft Invoice Form

Mobile Source Certification and Compliance Fee Regulation: Meetings & Workshops

CONTACT

Emailhelpline@arb.ca.govPhone(800) 242-4450

The Mobile Source Certification and Compliance Fee regulatory effort was initiated in response to new regulatory authority. 58 654 (2018) allowed CARB to adopt a schedule of fees to cover all or a portion of the State Board's reasonable costs. AB 85 (2019) authority: CARB to adopt a schedule of fees to recover reasonable costs from on-road vehicles and engines, removing the fee cap in HS Code 43019 for existing on-road vehicle and engine fees. The Mobile Source Certification Fee Regulatory was approved by the Board in April 2021 and will be implemented beginning April 1, 2022. The regulatory support documents for the Mobile Source Certification and Compliance Fee regulation are available on the Rulemaking Activity we bage. This page summarizes the regulatory activity, outreach, and other activities for the implementation of the Mobile Source Certification and Compliance Fees. For past regulatory activity **on here**.

If you would like to participate in future meetings, or get future workgroup announcements, subscribe to the Mobile Source Certification and Compliance Fee Regulation Topic. For general questions on this regulation, interested parties may contact Kathleen Mead.

On April 1, 2022, new and modified mobile source certification and compliance fees (MS Fees) will be due upon submittal of the application for mobile source certification. <u>Complete</u> applications for MY 23 on-road and off-road engines/vehicles/equipment received prior to April 1, 2022, are not subject to the MS fees. Based on current practices, on-road and off-road applications will undergo initial staff review to verify they are complete. For those applications received by CARB before April 1, 2022 and deemed incomplete, MS fees will be required before further processing occurs. Determination of completeness should be done within 30 days of application submittal.

Mobile Source Fee Implementation Resources (Currently Not Available, Updated Soon)

How do I pay my fees?

Focused Grouped Category Tutorials: <u>On-Road</u> <u>Off-Road/Evaporative</u> <u>Aftermarket</u> <u>DECS/At-Berth</u>



Draft Invoice Forms: On-Road Off-Road Evaporative Aftermarket DECS At-Berth

Mobile Source Fee Implementation Workshops

Implementation workshops will be held in March 2022. The March workshop/training will provide the manufacturers and fee payers with the invoice and payment protocols by which MS Fees can be submitted and processed. All manufacturers subject to MS Fees should attend the March 8th workshop in addition to the focused workgroup dedicated to their MS Fee cartification category. Please attend or review the workshop materials before submitting invoices and paying fees to prevent delays in processing your payment and review of your application. More information will be available soon. To receive a notice for this effort, please subscribe to the Mobile Source Certification and Compliance Fee Regulation Topic.



	La come de la com		Jacobia Datas			
nvoice N			Invoice Date:			
Company	Information (input by manufacturer)					
	Company Name					
	Address					
	City, State, Zip					
	Country					
	Contact Name					
	Contact Phone Number					
	Contact E-mail					
	FI\$Cal Account Number					
Payment Row Number	Product Description or file name	Model Year	Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1						,
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						
	_					
					Total Due	\$0
١.	X		, attest that any information provided is true, a	ccurate, and complete.		
.,	Responsible Party Signature Here					



Company Information

		ce Certification and Compliance Fee Pa Off-Road Model Year 2023 Applications	•
Invoice Number: For CARB's Use Or	nly	Invoice Date: For CARB's Use Only	
Company Information (input by manufacturer)			
Company Name			
Address	Lleo tho	company name, company ac	Idrocc
City, State, Zip			
Country	and con	tact name and information as	sociated
Contact Name	with the	application	
Contact Phone Number			
Contact E-mail			
FI\$Cal Account Number			



Must Include FI\$Cal Number

	Mobile Source Certification and Compliance Fee Payment Form For Off-Road Model Year 2023 Applications Only						
Invoice Number: For CARB's Use Only	Invoice Date: For CARB's Use Only						
Company Information (input by manufacturer)							
Company Name							
Address	Use the FI\$Cal account number supplied to						
City, State, Zip	you by CARB. The parent company name,						
Country	address, and payment contact may be						
Contact Name	different in the FI\$Cal account than in the						
Contact Phone Number							
Contact E-mail	application contact.						
FI\$Cal Account Number							

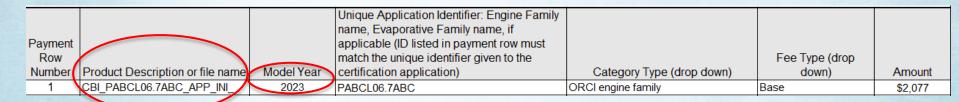


Application Information Each Row = One Application

Payment Row			Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the			
Number	Product Description or file name		certification application)		Fee Type (drop down)	Amount
1	Each	row repr	esents ONE "Off-Road (Certification" group	application	
2				3		
3						
4						
5						
6						
7						
8						
9						



Application Information Product Description/Model Year



Product Description or File name:

For all except SIMW: Use the file name in the Document Management System (DMS) that is associated with the application that you will be submitting to CARB.

For SIMW: Use a brief description that is associated with the application that you will be submitting to CARB.

Model Year:

Provide the model year for which the application is submitted, such as 2023



Application Information Unique Application Identifier

		/				
Payment Row Number	Product Description or file name		Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	CBI_PABCL06.7ABC_APP_INI_		PABCL06.7ABC	ORCI engine family	Base	\$2,077
2						
3						
4						
5						
6						
7						



Application Information Unique Application Identifier (cont'd)

Unique Application Identifier:

- LSI, SORE, OHRV, ORCI, SIME Engine Family Naming Convention
 - Refer to EPA website: <u>https://www.epa.gov/ve-certification/information-about-family-naming-conventions-vehicles-and-engines</u>
- SORE, LSI Evaporative Family Naming Convention
 - Refer to Small Off-Road Engine Evaporative Emission Control System Certification Procedure CP-902, Attachment 1: <u>https://ww2.arb.ca.gov/resources/documents/amended-cp-902-certification-and-approval-procedures-evaporative-emission</u>
- <u>SIMW Evaporative Family Naming Convention</u>
 - Refer to SIMW California Evaporative Family Naming Convention: <u>https://ww2.arb.ca.gov/sites/default/files/2020-</u> 05/Evaporative%20Family%20Naming%20Convention_R.pdf
- Zero-Emission Golf Cart Family Naming Convention
 - "XXXX_EGC" where the first "X" is model year per EPA's engine family naming convention and 2nd through 4th "X" is the EPA-assigned manufacturer's code.



Application Information Category Type

Payment Row			Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the			Fee Type (drop	
Number	Product Description or file name	Model Year	certification application)	Category Type (drop down)		down)	Amount
1					-		
2				OHRV engine family	^		
3				Zero-emission golf cart			
4				ORCI engine family LSI engine family			
5				LSI evaporative family			
6				SORE family SORE evaporative family	\sim		
7					Τ		

Category Type (Drop Down Menu):

The Off-Road category group has multiple category types based on engine or equipment process. The application submission must match one of these fee categories. Definitions of Category and Fee types can be found in Title 13 §2901 and §2905.



Off-Road Certification Group (OFF) Category Types

OHRV engine family

Zero-emission golf cart

ORCI engine family

LSI engine family

LSI evaporative family

SORE family

SORE evaporative family

SIME family

SIMW evaporative family



Category Type OHRV Engine Family

- Application for Off-highway recreational vehicle (OHRV) engine family that is subject to the requirements in Title 13, Division 3, Chapter 9, Article 3.
- 5 Fee Types
 - ✓ Base
 - Low California Production Manufacturer
 - ✓ Partial Carry-Over
 - ✓ Carry-Over
 - ✓ Zero-Emission



OHRV Engine Family Fee Types

Payment Row Number	Product Description or file name		Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop	o down)	Fee Type (drop down)	Amount
1	CBI_PABCX0.25ATV_APPIPT1	2023	PABCX0.25ATV	OHRV engine family			v
2					Base Low California	Production Manufacturer	
3					Low California Production Manufacturer Partial Carry-Over Carry-Over J Zero-Emission		
4							
5							
6							

Fee Type (Drop Down menu):

Delays in processing your application will occur if your application does not qualify for the fee type. Base fee type is standard for most applications.



Fee Type Base

- The certification fee applicable to all applicants seeking certification or evaluation that do not qualify for a reduced cost certification fee.
- Reduced cost fees
 - ✓ low California production manufacturer
 - ✓ partial carry-over
 - ✓ carry-over
 - ✓ zero-emission



Fee Type Partial Carryover

- An application that is identical to the previous model year's application that resulted in the issuance of a certification except for one or more of the following:
 - ✓ model year;
 - Engine family name or Evaporative family name;
 - ✓ applicant contact information;
 - ✓ projected sales data



Fee Type Partial Carryover (continued) • An application that is identical to the previous model year's application...and in addition, one or more of the following:

- ✓ model names;
- ✓ part numbers (including new parts if they are durable to full useful life and do not impact the certification emission levels); and
- ✓ equipment types.
- Mail-Out ECARS 15-07: Streamlined Certification Process For Carryover and Partial Carryover Certification Applications

✓ <u>https://ww2.arb.ca.gov/resources/documents/ecars-15-07</u> CARB

Fee Type Carryover

- An application that is identical to the previous model year's application that resulted in the issuance of a certification except for one or more of the following:
 - ✓ Model year;
 - Engine family name or Evaporative family name;
 - Applicant contact information;
 - Projected sales data
- Mail-Out ECARS 15-07: Streamlined Certification Process For Carryover And Partial Carryover Certification Applications

✓ <u>https://ww2.arb.ca.gov/resources/documents/ecars-15-07</u>



Fee Type Zero-Emission

- Zero emission Off-Road Motorcycle, All-Terrain Vehicle, Off-Road Utility Vehicle, Off-Road Sport Vehicle, and Sand Car
 - ✓ Mobile source technology that inherently produces zero exhaust emissions of any criteria pollutant (or precursor pollutant) or GHG, excluding emissions from A/C systems.
 - ✓ Has performance characteristics similar to ICE OHRV of comparable size and intended application.
 - ✓ Excludes golf carts.
- Title 13 CCR §§ 2901 and 2411(a)(24).



Fee Type Low California Production OHRV Manufacturer

- Produces \leq 300 OHRV for CA sale annually.
 - Annual production is averaged over 3 previous consecutive model years.
- Subject to year end validation and fee adjustment as applicable.



Category Type Zero-Emission Golf Cart

- Golf cart certified as ZERO emission per Title 13 CCR § 2412(b)
 - ✓ Conveys golf equipment and \leq 2 persons
 - ✓ Intended for playing golf on designated golf course
 - ✓ Unladen weight \leq 1,300 lbs.
 - Carries < 100 lbs. beyond passengers, accessories, and optional equipment
- Title 13 CCR §§ 2901, 2905, and 2411(a)(11)
- 1 Fee Type
 - ✓ Zero-Emission



Zero-Emission Golf Cart Fee Type

Payment Row			Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the		Fee Type (drop	
Number	Product Description or file name	Model Year	certification application)	Category Type (drop down)	down)	Amount
1	CBI_PABC_EGC_APPIPT1	2023	PABC_EGC	Zero-emission golf cart		v
2					Zero-emission	
3						
4						

Fee Type (Drop Down menu):

Please refer to Slide # 22 for definition of Zero-Emission



Category Type ORCI Engine Family

- Application for Off-Road Compression Ignition engine family and Medium Duty Compression Ignition engine family that is subject to the requirements in Title 13, Division 3, Chapter 9, Article 4.
- 3 Fee Types
 - ✓ Base
 - ✓ Carry-Over✓ Partial Carry-Over



ORCI Engine Family Fee Types

Payment Row Number	Product Description or file name	Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	CBI_PABCL06.7ABC_APP_INI_		ORCI engine family		v
2			Base		
3			Carry-Over	Partial Carry-Over Carry-Over	
4					
5					

Fee Type (Drop Down menu):

Please refer to Slides # 18-21 for definition of base, carry-over and partial carry-over



Category Type LSI Engine Family

- Application for Large spark-ignition (LSI) engine family that is subject to the requirements in Title 13, Division 3, Chapter 9, Article 4.5.
- 4 Fee Types
 - ✓ Base
 - ✓ Low California Production Manufacturer
 ✓ Carry-Over
 ✓ Partial Carry-Over



LSI Engine Family Fee Types

Payment Row Number	Product Description or file name		Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	CBI_PABCB04.3ABC_APP_PRM_	2023	PABCB04.3ABC	LSI engine family		Y
2					Base Low California Production Ma	-
3					Partial Carry-Over	
4					Carry-Over	
5						

Fee Type (Drop Down menu):

Please refer to Slides # 18-21 for definition of base, carryover and partial carryover



Fee Type Low California Production LSI Engine Manufacturer

- Produces ≤ 100 LSI engines for CA sale annually.
 - Annual production is averaged over 3 previous consecutive model years.
- Subject to year end validation and fee adjustment as applicable



Category Type LSI Evaporative Family

- Application for Large spark-ignition (LSI) evaporative family that is subject to the requirements in Title 13, Division 3, Chapter 9, Article 4.5.
- 4 Fee Types
 - ✓ Base
 - ✓ Low California Production Manufacturer
 ✓ Carry-Over
 ✓ Partial Carry-Over



LSI Evaporative Family Fee Types

Payment Row Number	Product Description or file name		Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	CBI ABCCM1 APP PRM	2023	ABCCM1	LSI evaporative family	donny	*
2		2020			Base	
3					Low California Production Mar Partial Carry-Over	nuf
4					Carry-Over	
5						
6						

Fee Type (Drop Down menu):

Please refer to Slides # 18-21 for definition of base, carry-over and partial carry-over



Fee Type Low California Production LSI Evaporative Manufacturer

- Produces ≤ 100 units of equipment that use LSI engines for CA sale annually.
 - Annual production is averaged over 3 previous consecutive model years.
- Subject to year end validation and fee adjustment as applicable



Category Type SORE Family

- Application for Small off-road engine (SORE) engine family that is subject to the requirements in Title 13, Division 3, Chapter 9, Article 1.
- 4 Fee Types
 - ✓ Base
 - ✓ Carry-Over
 ✓ Partial Carry-Over
 ✓ Zero-Emission



SORE Family Fee Types

Payment Row Number	Product Description or file name		Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	CBI_PABCS.4001CC_APP_PRM_	2023	PABCS.4001CC	SORE family		T
2					Base Partial Carry-Over	
3					Carry-Over	
4					Zero-Emission	
5						
6						

Fee Type (Drop Down menu):

Please refer to Slides # 18-21 for definition of base, carry-over and partial carry-over



Fee Type Zero-Emission SORE

• Zero emission SORE family

Mobile source technology that inherently produces zero exhaust emissions of any criteria pollutant (or precursor pollutant) or GHG, excluding emissions from A/C systems.
 Title 13 CCR §§ 2901 and 2401(a)(52).



Category Type SORE Evaporative Family

- Application for Small off-road engine (SORE) evaporative family that is subject to the requirements in Title 13, Division 3, Chapter 15, Article 1.
- 3 Fee Types
 - ✓ Base
 - ✓ Carry-Over✓ Partial Carry-Over



SORE Evaporative Family Fee Types

Payment Row Number	Product Description or file name		Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	CBI_ABCCM1_APP_PRM	2023	ABCCM1	SORE evaporative family		v
2					Base Partial Corres Ower	
3					Partial Carry-Over Carry-Over	
4						
5						

Fee Type (Drop Down menu): Please refer to Slides # 18-21 for definition of base, carry-over and partial carry-over



Category Type SIME Family

- Application for Spark-ignition marine engine (SIME) family that is subject to the requirements in Title 13, Division 3, Chapter 9, Article 4.7.
- 4 Fee Types
 - ✓ Base
 - ✓ Low California Production Manufacturer
 ✓ Carryover
 ✓ Partial Carryover



SIME Family Fee Types

Payment Row Number	Product Description or file name		Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	CBI_PABCM.4002AA_APP_PRM_	2023	PABCM.4002AA	SIME family		¥
2					Base Low Colifornia Deschudion Ma	
3					Low California Production Ma Partial Carry-Over	nut
4					Carry-Over	
5						

Fee Type (Drop Down menu):

Please refer to Slides # 18-21 for definition of base, carry-over and partial carry-over



Fee Type Low California Production SIME Manufacturer

- Produces \leq 100 SIME for CA sale annually.
 - Annual production is averaged over 3 previous consecutive model years.
- Subject to year end validation and fee adjustment as applicable



Category Type SIMW Evaporative Family

- Application for Spark-ignition marine watercraft (SIMW) evaporative family that is subject to the requirements in Title 13, Division 3, Chapter 15, Article 4.
- 4 Fee Types
 - ✓ Base
 - Low California Production Manufacturer
 - ✓ Carryover
 - ✓ Partial Carryover



SIMW Evaporative Family Fee Types

Payment Row Number	Product Description or file name		Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	Speedy Jetboat	2023	PABCPVSSLAAA	SIMW evaporative family		v
2					Base Low California Production Ma	
3					Partial Carry-Over	nui
4					Carry-Over	
5						

Fee Type (Drop Down menu):

Please refer to Slides # 18-21 for definition of base, carry-over and partial carry-over



Fee Type Low California Production SIMW Evaporative Manufacturer

- Produces \leq 75 SIMW for CA sale annually.
 - Annual production is averaged over 3 previous consecutive model years.
- Subject to year end validation and fee adjustment as applicable



Submit Draft Invoice to CARB

- Save draft Invoice for your records
- E-mail draft invoice to: MSCertFees@arb.ca.gov
- In 1-2 business days, CARB staff will return your e-mail with a pdf of the "<u>Final</u>" invoice with the invoice number and date applied.
- DO NOT pay your fees until 5 days after receipt of your <u>Final</u> invoice



Step 3: Payment

- Wait 5 business days after receiving your final invoice
- Include your invoice number with your payment
- Go to: https://ww2.arb.ca.gov/payments
- Send an e-mail to your assigned certification staff that your payment has been made. Include your invoice number and amount.



Fees to be submitted at the time of application

- Fee received = Application review begins
- Delays in processing your application will occur if your application does not qualify for the fee type selected.
 - Corrected payment will be due before application reviews begin.
 - Base type fee is standard for most applications.



Step 4: Submission of Final Invoice with Application/s

- For "Off-Road" Certification Group Fee Categories, please include the "<u>Final</u> invoice" on the first page of the application
- All Category Type except SIMW applications
 - Submit the application to DMS (https://ww2.arb.ca.gov/certification-document-managementsystem)
- SIMW Evaporative Family applications
 - ✓ Email the application to SIMW.evapcert@arb.ca.gov



What happens if I select the wrong fee type?

- Initial 30-day Application Review: application does not match fee type selected
 - Application processing ceases until fee invoice/underpayment are corrected
- End of year production reporting
 - ✓ Future application processing ceases until remainder of fee is paid



For more information

- Contact your assigned certification staff
- Go to our website:

ww2.arb.ca.gov/mobile-source-certification-andcompliance-fee-regulation-meetings-workshops

• E-mail <u>MSCertFees@arb.ca.gov</u>

✓ Include in your e-mail header the nature of your inquiry and your category type (OFF) in parentheses

